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Dolly et al  
Docket No. 17311(AP)

PATENT

## NEW APPLICATION TRANSMITTAL FORM

To the Assistant Commissioner for Patents:

This is a Request for filing a non-provisional patent application under 37 CFR 1.53(b) entitled ACTIVATABLE RECOMBINANT NEUROTOXINS by the following named inventors:

|   |                           |  |                                 |                                    |                     |
|---|---------------------------|--|---------------------------------|------------------------------------|---------------------|
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(X) The Commissioner is hereby authorized to use Deposit Account Number 01-0885 for the payment of any extension fees incurred during the prosecution of this application.

(X) Enclosed is a specification of 56 pages, claims 8 pages, abstract 1 page, drawings 17 pages

Oath or Declaration

( ) Enclosed is an executed oath or declaration.

(x) Enclosed is an unsigned oath or declaration.

(X) A self-addressed return postcard is enclosed for verification of receipt.

(X) The filing fee is calculated below:

| FOR   | NUMBER<br>FILED | NUMBER<br>EXTRA | RATE   | FEE              |
|---|-----------------|-----------------|--------|------------------|
| Basic Fee (Large entity)  |                 |                 | \$690  | \$690.00         |
| Total Claims  | 77 minus 20     | = 57            | × \$18 | 1026.00          |
| Independent Claims  | 3 minus 3       | =               | × \$78 |                  |
| If application contains any multiple dependent claims, then add |                 |                 |        | \$260.00 260.00  |
| <b>TOTAL FILING FEE</b>   |                 |                 |        | <b>\$1976.00</b> |

(X) The Commissioner is hereby authorized to charge the filing fee and excess claim fees (including multiple dependent claim fee) as stated above to Deposit Account No. 01-0885. If this amount is incorrect, or for payment of any other fees that may be incurred as a result of this communication please use said Deposit Account. A duplicate copy of this sheet is enclosed for that purpose.

( ) A copy of an assignment bestowing all interest in this application to Allergan Sales, Inc is enclosed.

(x) New drawings are enclosed in 11 sheets.

(x) A Statement Pursuant to 37 CFR 1.821(f) and a labeled diskette containing the computer readable sequence listing is enclosed.

( ) A Statement Pursuant to 37 CFR § 1.821(e), stating that the paper copy and the

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computer readable form are identical is filed herewith.


- (x) A properly labeled computer readable form of the Sequence Listing accompanies this Application.
- (X) The Power of Attorney in this application is to Carlos A. Fisher, Registration Number 36,510.
- (X) The Power of Attorney appears in the combined Declaration and Power of Attorney, filed herewith.
- ( ) A copy of the Request for Extension of Time filed in the prior application is enclosed.

Please address all future communications to:

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Respectfully submitted,

Date: 8/25/00

  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dolly et al. )

Serial No.: Applied For )

Filed: Herewith )

For: ACTIVATABLE RECOMBINANT  
NEUROTOXINS )

Examiner: Unknown )

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By: ~~Bonnie Ferguson~~ Carlos FisherSignature: ~~Bonnie Ferguson~~ Carlos A. Fisher

Date of Signature 8/25/00

TRANSMITTAL SHEETBox Patent Application  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

August 25, 2000

Sir:

Enclosed herewith are the following documents:

- (X) Transmittal Letter
- (X) Specification ( 56 pages); claims (8 pages); abstract (1 page)
- (X) Drawings ( 17 sheets)
- (X) Declaration/Power of Attorney (Unsigned)
- (X) Statement Under 37 CFR § 1.821 (f)
- (X) Paper copy of Sequence List and Computer Readable Disk
- (X) Return/postage paid Postcard
- (X) Express Mail No. EL385493236US

8/25/00

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009630 "2694460

## ACTIVATABLE RECOMBINANT NEUROTOXINS

This application claims priority pursuant to 35 U.S.C. § 119(e) to provisional application Serial No. 60/150,710, filed August 25, 1999, hereby  
5 incorporated by reference herein.

### FIELD OF THE INVENTION

This invention concerns methods and compositions useful in the fields  
10 of neurobiology, molecular biology, and medicine, as well as methods for the production of potentially toxic therapeutic agents and derivatives thereof. The invention also concerns recombinant clostridial neurotoxins (particular botulinum neurotoxins), modified versions thereof, and methods of making such molecules, for use as therapeutic agents, transporter molecules, adducts, and the  
15 like.

### BACKGROUND OF THE INVENTION

Neurotoxins, such as those obtained from *Clostridium botulinum* and  
20 *Clostridium tetani*, are highly potent and specific poisons of neural cells, and other cells when delivered within such cells for therapeutic purposes. These Gram positive bacteria express two related but distinct toxins types, each comprising two disulfide-linked amino acid chains: a light chain (L) of about 50 KDa and a heavy chain (H) of about 100 KDa, which are wholly responsible for  
25 the symptoms of these diseases. The holotoxin is synthesised *in vivo* as a single chain, then nicked in a post-translational modification to form the active neurotoxin comprising the separate L and H chains.

The tetanus and botulinum toxins are among the most lethal substances known to man, having a lethal dose in humans of between 0.1 ng and 1 ng per kilogram of body weight. Tonello et al., *Adv. Exp. Med. & Biol.* 389:251-260 (1996). Both toxins function by inhibiting neurotransmitter release in affected  
5 neurons. The tetanus neurotoxin (TeNT) acts mainly in the central nervous system, while botulinum neurotoxin (BoNT) acts at the neuromuscular junction and other cholinergic synapses in the peripheral nervous system; both act by inhibiting neurotransmitter release from the axon of the affected neuron into the synapse, resulting in paralysis.

10 The tetanus neurotoxin (TeNT) is known to exist in one immunologically distinct type; the botulinum neurotoxins (BoNT) are known to occur in seven different immunogenic types, termed BoNT/A through BoNT/G. While all of these types are produced by isolates of *C. botulinum*, two other species, *C. baratii* and *C. butyricum* also produce toxins similar to /F and /E,  
15 respectively. See e.g., Coffield et al., *The Site and Mechanism of Action of Botulinum Neurotoxin in Therapy with Botulinum Toxin* 3-13 (Jankovic J. & Hallett M. eds. 1994), the disclosure of which is incorporated herein by reference.

Regardless of type, the molecular mechanism of intoxication appears to  
20 be similar. In the first step of the process, the toxin binds to the presynaptic membrane of the target neuron through a specific interaction between the heavy (H) chain and a cell surface receptor; the receptor is thought to be different for each type of botulinum toxin and for TeNT. Dolly et al., *Seminars in Neuroscience* 6:149-158 (1994), incorporated by reference herein. The carboxyl  
25 terminus of the heavy chain appears to be important for targeting of the toxin to the cell surface. *Id.*

In the second step, the toxin crosses the plasma membrane of the poisoned cell. The toxin is first engulfed by the cell through receptor-mediated endocytosis, and an endosome containing the toxin is formed. The toxin then escapes the endosome into the cytoplasm of the cell. This last step is thought to be mediated by the amino terminus of the H chain, which triggers a conformational change of the toxin in response to a pH of about 5.5 or lower. Endosomes are known to possess a proton pump which decreases intra endosomal pH. The conformational shift exposes hydrophobic residues in the toxin, which permits the toxin to embed itself in the endosomal membrane. The toxin then translocates through the endosomal membrane into the cytosol.

The last step of the mechanism of botulinum toxin activity appears to involve reduction of the disulfide bond joining the H and light (L) chain. The entire toxic activity of botulinum and tetanus toxins is contained in the L chain of the holotoxin; the L chain is a zinc ( $Zn^{++}$ ) endopeptidase which selectively cleaves proteins essential for recognition and docking of neurotransmitter-containing vesicles with the cytoplasmic surface of the plasma membrane, and fusion of the vesicles with the plasma membrane. TxNT, BoNT/B, BoNT/D, BoNT/F, and BoNT/G cause degradation of synaptobrevin (also called vesicle-associated membrane protein (VAMP)), a synaptosomal membrane protein. Most of the cytosolic domain of VAMP extending from the surface of the synaptic vesicle is removed as a result of any one of these cleavage events. Each toxin (except TeNT and BoNT/B) specifically cleaves a different bond.

BoNT/A and /E selectively cleave the plasma membrane-associated protein SNAP-25; this protein, which is also cleaved by BoNT/C1 (Foran et al., *Biochem.* 35:2630-2636 (1996)), is predominantly bound to and present on the cytosolic surface of the plasma membrane. BoNT/C cleaves syntaxin, an integral protein having most of its mass exposed to the cytosol. Syntaxin

interacts with the calcium channels at presynaptic terminal active zones. See Tonello et al., *Tetanus and Botulinum Neurotoxins in Intracellular Protein Catabolism* 251-260 (Suzuki K. & Bond J. eds. 1996), the disclosure of which is incorporated by reference as part of this specification.

5           Both TeNT and BoNT are taken up at the neuromuscular junction. BoNT remains within peripheral neurons, and blocks release of the neurotransmitter acetylcholine from these cells. Through its receptor, TeNT enters vesicles that move in a retrograde manner along the axon to the soma, and is discharged into the intersynaptic space between motor neurons and the  
10       inhibitory neurons of the spinal cord. At this point, TeNT binds receptors of the inhibitory neurons, is again internalized, and the light chain enters the cytosol to block the release of the inhibitory neurotransmitters 4-aminobutyric acid(GABA) and glycine from these cells.

          Because of its specifically localized effects, minute doses of BoNT have  
15       been used since 1981 as therapeutic agents in the treatment of patients suffering from dystonias, including strabismus (misalignment of the eye), bophlarospasm (involuntary eyelid closure) and hemifacial spasm. See e.g., Borodic et al, *Pharmacology and Histology of the Therapeutic Application of Botulinum Toxin in Therapy with Botulinum Toxin* 119-157 (Jankovic J. & Hallett eds.  
20       1994), hereby incorporated by reference herein. Of the seven toxin types, BoNT/A is the most potent of the BoNTs, and the best characterized. Intramuscular injection of spastic tissue with small quantities of BoNT/A has also been used effectively to treat spasticity due to brain injury, spinal cord injury, stroke, multiple sclerosis and cerebral palsy. The extent of paralysis  
25       depends on both the dose and volume delivered to the target site.

          Although the L chain is the moiety responsible for neural intoxication, it must be delivered to the neural cytoplasm in order to be toxic. Similarly, the



single chain holotoxin pro-forms exhibit relatively low toxicity until they are cleaved at one or more peptide bonds in an exposed loop region between their H and L chains to create the fully-active mature neurotoxins. As implied in the mechanism provided above, the H chain of each neurotoxin is essential for cell  
5 receptor binding and endocytosis, while both the L and the H chains (and an intact disulfide bond) are required for translocation of the toxin into the cytoplasm. As indicated above, the L chain alone is responsible for the toxicity caused by inhibition of acetylcholine secretion.

Despite the clear therapeutic efficacy of clostridial neurotoxin  
10 preparations, industrial production of the toxin is difficult. Production of neurotoxin from anaerobic *Clostridium* cultures is a cumbersome and time-consuming process including a multi-step purification protocol involving several protein precipitation steps and either prolonged and repeated crystallisation of the toxin or several stages of column chromatography.  
15 Significantly, the high toxicity of the product dictates that the procedure must be performed under strict containment (BL-3). During the fermentation process, the folded single-chain neurotoxins are activated by endogenous clostridial proteases through a process termed nicking. This involves the removal of approximately 10 amino acid residues from the single-chain to create the  
20 dichain form in which the two chains remain covalently linked through the interchain disulfide bond.

The nicked neurotoxin is much more active than the unnicked form. The amount and precise location of nicking varies with the serotypes of the bacteria producing the toxin. The differences in single-chain neurotoxin activation and,  
25 hence, the yield of nicked toxin, are due to variations in the type and amounts of proteolytic activity produced by a given strain. For example, greater than 99% of *C. botulinum* type A single-chain neurotoxin is activated by the Hall A C.

*botulinum* strain, whereas type B and E strains produce toxins with lower amounts of activation (0 to 75% depending upon the fermentation time). Thus, the high toxicity of the mature neurotoxin plays a major part in the commercial manufacture of neurotoxins as therapeutic agents.

5           The degree of activation of engineered clostridial toxins is, therefore, an important consideration for manufacture of these materials. It would be a major advantage if neurotoxins such as BoNT and TeTx could be expressed in high yield in rapidly-growing bacteria (such as heterologous *E. coli* cells) as relatively non-toxic single-chains (or single chains having reduced toxic  
10           activity) which are safe, easy to isolate and simple to convert to the fully-active form.

          With safety being a prime concern, previous work has concentrated on the expression in *E.coli* and purification of individual H and L chains of TeTx and BoNT; these isolated chains are, by themselves, non-toxic; see Li et al.,  
15           *Biochemistry* 33:7014-7020 (1994); Zhou et al., *Biochemistry* 34:15175-15181 (1995), hereby incorporated by reference herein. Following the separate production of these peptide chains and under strictly controlled conditions the H and L subunits can be combined by oxidative disulphide linkage to form the neuromuscular di-chains. Unfortunately, this strategy has several drawbacks.

20           Firstly, it is not practical to express and isolate large amounts of the individual chains; in particular, in the absence of the L chain the isolated H chain is quite insoluble in aqueous solution and is highly susceptible to proteolytic degradation. Secondly, the *in vitro* oxidation of the individually expressed and purified H and L chains to produce the active di-chain is very  
25           inefficient, and leads to low yields of active toxin and the production of many inactive incorrectly folded or oxidized forms. The purification of the correctly

folded and oxidized H and L chain-containing toxin is difficult, as is its separation from these inactive forms and the unreacted separate H and L chains.

It would therefore be useful and advantageous to express clostridial neurotoxins as inactive (or less active) single-chain forms, to eliminate the need  
5 for the time-consuming and inefficient reconstitution of the constituent chains, to maintain solubility of the protein chains, to reduce protein misfolding and consequent susceptibility to protease attack, to improve toxin yield, and/or to provide a simple method for the purification of the toxin.

Additionally, it would be useful to engineer these toxins to provide  
10 single-chain, modified neurotoxin molecules having novel therapeutic properties and/or longer duration of action, or toxic or non-toxic forms for use as transport molecules capable of delivering a therapeutic moiety to nerve or other cell types. By expressing such proteins as a single chain, the yield and purification of the engineered proteins would be vastly improved.

15

#### SUMMARY OF THE INVENTION

The present invention is directed to recombinant and isolated proteins  
20 comprising a functional binding domain, translocation domain, and therapeutic domain in which such proteins also include an amino acid sequence that is susceptible to specific cleavage in vitro following expression as a single chain. Such proteins may include clostridial neurotoxins and derivatives thereof, such as those proteins disclosed in U.S. Patent 5,989,545 and International Patent  
25 Application WO95/32738, both incorporated by reference herein.

In one embodiment of the invention the protein comprises the functional domains of a clostridial neurotoxin H chain and some or all of the functions of a

clostridial neurotoxin L chain in a single polypeptide chain, and having an inserted proteolytic cleavage site located between the H domain and the L domain by which the single chain protein may be cleaved to produce the individual chains, preferably covalently linked by a disulfide linkage. The invention also includes methods of making such proteins and expressing them within a cell, as well as nucleic acid vectors for the transfer and expression of the nucleotide sequence regions encoding such proteins and cells containing such vectors. The proteolytic cleavage sites comprise amino acid sequences that are selectively recognized and cleaved by a specific enzyme.

In a preferred aspect of the invention, the expressed single-chain proteins comprise the biologically active domains of the H chain and L chain of a clostridial neurotoxin. Scission at the internal proteolytic cleavage site separating the chain domains thus results in the activation of a neurotoxin having full activity.

In another aspect of the invention the single-chain proteins comprise a binding domain targeted to a cell receptor other than one borne by a motor neuron. Such a binding domain may specific bind to, for example, a sensory afferent neuron, or to a non-neuronal cell type or tissue, such as pancreatic acinar cells. The single-chain proteins will contain a translocation domain similar to that of clostridial neurotoxins, and a therapeutic moiety. The therapeutic moiety may be a clostridial neurotoxin light chain, or may be a different therapeutic moiety such as an enzyme, a transcribable nucleotide sequence, growth factor, an antisense nucleotide sequence and the like.

Preferably, the toxins and toxin-based proteins of the present invention will be tailored to contain an additional amino acid sequence comprising a binding tag able to bind a target compound at sufficiently high efficiency to facilitate rapid isolation of the toxin protein. Proteins containing such binding

sites are many and well known to those of skill in the art, and may comprise, without limitation, monoclonal antibodies, maltose binding protein, glutathione-S-transferase, protein A, a His<sub>6</sub> tag, and the like.

Because such proteins exhibit binding selectivity to a certain compound  
5 or compound type, the target compound may be immobilized to a solid support, including without limitation, a chromatography resin or microtiter well and used for affinity purification of the modified toxin. The toxin molecule can then be eluted by standard methods, such as through the use of a high salt solution or specific antagonist.

10 To minimize the safety risk associated with handling neurotoxin, the toxins of the this aspect of the present invention are expressed as their low activity (or inactive) single-chain proforms, then, by a carefully controlled proteolytic reaction *in vitro*, they are activated, preferably to the same potency level as the native neurotoxin from which they were derived. To improve the  
15 efficiency and rate of proteolytic cleavage the engineered proteolytic cleavage sites can be designed to occur in a specially-designed loop between the H and L portions of the single amino acid chain that promotes accessibility of the protease to the holotoxin substrate.

To reduce the risk of unintentional activation of the toxin by human or  
20 commonly encountered proteases, the amino acid sequences of the cleavage site are preferably designed to have a high degree of specificity to proteolytic enzymes which do not normally occur in humans (as either human proteases or occurring in part of the foreseeable human fauna and flora). A non-exclusive list of examples of such proteases includes bovine enterokinase, which cleaves  
25 the amino acid sequence DDDDK; tobacco etch virus (TEV) protease, which cleaves the sequence EXXYXQS/G; GENENASE<sup>®</sup> from *Bacillus amyloliquefaciens*, which cleaves the sequence HY or YH; and PRESCISSION<sup>®</sup>

protease from human rhinovirus 3C, which cleaves the amino acid sequence  
LEVLFQGP. As used above, the letter X indicates any amino acid. All amino  
acid sequences shown in the present specification are in the direction from  
amino terminus to carboxyl terminus, and all nucleotide sequences from 5' to  
5 3', (from left to right) unless otherwise indicated.

In an aspect of the invention the single-chain polypeptide is an isolated  
polypeptide. By "isolated" is meant removed from its natural environment. For  
example, for a protein expressed within the cell, isolation includes preparation  
of a cell lysate as well as subsequent purification steps. A protein expressed  
10 extracellularly may be isolated by, for example, separation of the supernatant  
from the cells as well as any subsequent purification steps.

In another aspect of the invention the interchain loop region of the *C.*  
*botulinum* subtype E neurotoxin, which is normally resistant to proteolytic  
nicking in the bacterium and mammals, is modified to include the inserted  
15 proteolytic cleavage site, and this loop region used as the interchain loop region  
in the single-chain toxin or modified toxin molecules of the present invention.  
It is believed that using the loop from *C. botulinum* subtype E will stabilize the  
unnicked toxin molecule in vivo, making it resistant to undesired cleavage until  
activated through the use of the selected protease.

20 In yet another aspect of the invention are contemplated compositions  
comprising recombinant forms of BoNT/E expressed as a single chain  
polypeptide.

In still another aspect are contemplated recombinant chimeric and/or  
modified toxin derivatives expressed as a single chain polypeptide. Such  
25 polypeptide may be molecular transporters, such as, without limitation, those  
disclosed in Dolly et al., European Patent Specification EP 0 760 681 B1,  
incorporated by reference herein.

In a further aspect the invention includes neurotoxin derivatives comprising at least a portion of a light chain from one clostridial neurotoxin or subtype thereof, and at least a portion of a heavy chain from another neurotoxin or neurotoxin subtype, as well as methods for their production. In one  
5 embodiment the hybrid neurotoxin may contain the entire light chain of a light chain from one neurotoxin subtype and the heavy chain from another neurotoxin subtype. In another embodiment, a chimeric neurotoxin derivative may contain a portion (e.g., the binding domain) of the heavy chain of one neurotoxin subtype, with another portion of the heavy chain being from another neurotoxin  
10 subtype. Similarly or alternatively, the therapeutic element may comprise light chain portions from different neurotoxins.

Such hybrid or chimeric neurotoxin derivatives are useful, for example, as a means of delivering the therapeutic benefits of such neurotoxins to patients who are immunologically resistant to a given neurotoxin subtype, to patients  
15 who may have a lower than average concentration of receptors to a given neurotoxin heavy chain binding moiety, or to patients who may have a protease-resistant variant of the membrane or vesicle toxin substrate (e.g., SNAP-25, VAMP and syntaxin). Creation of recombinant chimeric or hybrid neurotoxin derivatives having a light chain with different substrate would permit such  
20 patients to respond to neurotoxin therapy.

With regard to immunological resistance, it is known that most neurotoxin epitopes exist on the heavy chain portion of the toxin. Thus if a patient has neutralizing antibodies to, for example BoNT/A, a chimeric neurotoxin containing the heavy chain from BoNT/E and the light chain from  
25 BoNT/A (which has a longer duration of therapeutic activity than other neurotoxin light chains) would overcome this resistance. Likewise if the patient has few cell surface receptors for BoNT/A, the chance are great that the same

patient would have adequate receptors to another BoNT subtype. By creating a hybrid or chimeric neurotoxin (such as one containing at least a portion of a heavy chain selected from the group consisting of HC<sub>A</sub>, HC<sub>B</sub>, HC<sub>C1</sub>, HC<sub>D</sub>, HC<sub>E</sub>, HC<sub>F</sub>, and HC<sub>G</sub> and a at least a portion of a light chain selected from a different clostridial neurotoxin subtype, said light chain being selected from the group  
5 consisting of LC<sub>A</sub>, LC<sub>B</sub>, LC<sub>C1</sub>, LC<sub>D</sub>, LC<sub>E</sub>, LC<sub>F</sub>, and LC<sub>G</sub> ) combining the heavy chain of that subtype with the most therapeutically appropriate light chain (for example, the BoNT/A light chain) the patient could better respond to neurotoxin therapy.

10 Another advantage of the hybrid or chimeric neurotoxin derivatives described above is related to the fact that certain of the light chains (e.g., LC<sub>A</sub>) have a long duration of action, others having a short duration of action (e.g., LC<sub>E</sub> AND LC<sub>F</sub>) while still others have an intermediate duration of activity(e.g., LC<sub>B</sub>). Thus, hybrid and chimeric neurotoxins represent second and third  
15 generation neurotoxin drugs in which the neurotoxin activity may be tailored to a specific therapeutic need or condition, with different drugs having different activities, substrate specificities or duration of activity.

Such hybrid or chimeric neurotoxins would also be useful in treating a patient (such as a soldier or laboratory worker) who has been inoculated with  
20 the pentavalent BoNT vaccine. Such vaccines do not contain BoNT/F; thus, combining the appropriate light chain with the BoNT/F heavy chain would create a therapeutic agent which is effective in such a patient where current therapeutic neurotoxins may not be.

The same strategy may be useful in using derivatives of clostridial  
25 neurotoxins with a therapeutic moiety other than an active neurotoxin light chain. As the heavy chain of such an agent would be derived from a neurotoxin, it may be advantageous to use a lesser known, or rarer heavy chain to avoid



resistance mechanisms neutralizing the effectiveness of the therapeutic neurotoxin derivative.

By the same token, the binding moiety may be one other than a binding moiety derived from a clostridial neurotoxin heavy chain, thus providing a  
5 targeting function to cell types other than motor neurons.

Also included herein are methods for the construction, expression, and purification of such molecules in high yield as biologically active entities.

10      **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1A is a diagrammatic view of the single-chain TeTx construct in plasmid pTrcHisA and the nucleotide sequence of the junction region.

Figure 1B shows the amino acid sequence connecting the carboxyl  
15 terminus of the L chain and the amino terminus of the H chain and an engineered loop region containing an enterokinase cleavage site.

Figure 2A is a representation of a Western blot of an SDS-PAGE gel of cell extracts of *E. coli* JM 109 transformants containing 2 different recombinant single-chain toxins, either before or after induction of plasmid protein  
20 expression with IPTG. The antibody used for detection is an anti-His<sub>6</sub> monoclonal antibody.

Figure 2B is a Western blot of IPTG-induced cell extracts from cells transformed with the E234A construct.

Figure 3A shows the results of an experiment in which affinity purified  
25 recombinant single-chain (SC) TeTx is nicked with enterokinase, then separated using SDS-PAGE and visualized using Commassie Brilliant Blue under reducing and non-reducing conditions.

Figure 3B shows the results of an experiment in which affinity purified recombinant single-chain (SC) TeTx is nicked with enterokinase, then separated using SDS-PAGE under reducing and non-reducing conditions and subjected to a Western blot using anti TeT%X heavy chain antibody.

5        Figure 4 is a plot of the degree of paralysis induced in a nerve/muscle preparation *in vitro* using native TeTx, and recombinant single chain neurotoxin before, and after nicking as a function of time.

Figure 5 is a depiction of the peptide fragments generated upon incubation of the recombinant single-chain TeTx with trypsin and Arg C  
10        protease, and deduction, from the N-terminal sequences of one of the resulting fragments, of the amino acid sequence recognized by these agents.

Figure 6 shows the digestion of unnicked SC WT TeTx and SC R496G TeTx with various concentrations of trypsin.

Figure 7 shows the inhibitory effect upon TeTx stimulated inhibition of  
15        Ca<sup>++</sup>-dependent neurotransmitter release of preincubating cerebellar cells with the E234A mutant TeTx.

Figure 8 shows the effect upon Ca<sup>++</sup>-dependent neurotransmitter release of cerebellar neurons upon exposure to native, recombinant E234A mutant single-chain, and the recombinant R496G mutant single chain TeTx.

20        Figure 9 shows the inhibitory effect upon TeTx-stimulated paralytic activity of preincubating mouse hemi diaphragms with the E234A mutant TeTx.

Figure 10 shows the scheme for construction of a plasmid encoding single-chain BoNT/E, and an agarose gel electrophoretogram of the PCR fragment obtained during the construction of the plasmid.

25        Figure 11 shows the scheme for construction of a plasmid encoding the E212Q proteolytically inactive single-chain BoNT/E mutant, and an agarose gel

electrophoretogram of the inverse PCR fragment obtained during the construction of the plasmid.

Figure 12 shows the expression and purification scheme for recombinant single-chain BoNT/E, and a SDS-PAGE electrophoretogram and Western blot  
5 of the purification fractions.

Figure 13 shows SDS-PAGE electrophoretograms under reducing and non-reducing conditions of native recombinant unnicked, and recombinant nicked BoNT/E, and Western Blots directed towards the heavy and light chains of the toxin.

10 Figure 14 shows the results of incubating native BoNT/E, recombinant nicked and un-nicked BoNT/E, and the E212Q mutant with a GST-SNAP-25[140-205] protease substrate.

Figure 15 shows the effect upon  $\text{Ca}^{++}$ -dependent glutamate release of incubating cerebellar cells with native BoNT/E, un-nicked recombinant single  
15 chain BoNT/E, and nicked recombinant single chain BoNT/E.

Figure 16A shows the effects on muscle tension of incubating mouse phrenic-nerve hemi-diaphragms with 0.2 nM recombinant nicked BoNT/E (○) or 0.2 nM native BoNT/E (□).

Figure 16B shows the effects on muscle tension of incubating mouse  
20 phrenic-nerve hemi-diaphragms with 1 nM recombinant un-nicked (○), 1 nM recombinant nicked (●) or 0.05 nM recombinant nicked (▽) BoNT/E.

Figure 17 shows the attenuation of paralytic activity on mouse phrenic-nerve hemi-diaphragms of preincubation with the inactive E212Q mutant prior to exposure to native nicked BoNT/E toxin.

DETAILED DESCRIPTION OF THE INVENTION

00550 2894560

The compositions and methods of the present invention involve  
5 modified neurotoxins, their synthesis and use. Di-chain neurotoxins that are  
normally activated by scission of a single chain polypeptide by indigenous  
proteases can be modified at the nucleic acid level by alteration or removal of  
the nucleotide sequence encoding the indigenous protease cleavage site and  
insertion of a nucleotide sequence encoding another different proteolytic  
10 cleavage site resistant to cleavage by host cell or human proteases. The  
inserted amino acid sequence is designed to be cleaved *in vitro* through the use  
of a cleaving agent chosen in advance of expression that is absent from both  
human and host cell tissue.

The inserted amino acid sequence may be chosen to confer susceptibility  
15 to a chemical agent capable of cleaving peptide bonds, such as cyanogen  
bromide. However, and much more preferably, the encoded amino acid  
sequence may comprise a proteolytic cleavage site highly specific for a selected  
protease. The selected protease is one not normally present in the cell expressing the  
single chain toxin molecule (for example, *E. coli* or the native clostridial  
20 bacterium).

In another aspect, the invention is drawn to recombinant single-chain  
modified clostridial neurotoxins that may be cleaved at will by a protease to  
provide an active di-chain molecule. Such modified neurotoxins need not be  
toxic; in certain of these proteins the enzymatic activity of the toxin L chain may  
25 be abrogated, and the toxin joined to a drug or other bioactive agent having  
therapeutic activity. Alternatively, in certain other modified neurotoxins the L  
chain is enzymatically active, but portions of the H chain are modified to

provide specificity to target cells other than the natural target of the neurotoxin, while maintaining the translocation and endocytosis-stimulating activities of the native toxin.

Modified neurotoxins such as those described in this aspect of the invention are disclosed in, for example, International Patent Publications  
5 WO95/32738, WO 99/55359, WO96/33273, WO98/07864 and WO99/17806, these publications are incorporated by reference herein. The present invention provides single-chain, cleavable versions of these molecules and improved methods of making such molecules.

10 In another aspect, the invention comprises a modified clostridial neurotoxin derived from tetanus toxin (TeNT), or one or more of the botulinum toxin (BoNT) subtypes in which the naturally-occurring interchain loop region has been replaced with a modified loop region comprising a different amino acid sequence conferring 1) resistance to cleavage by host proteases or autolytic  
15 action, and/or 2) lability to a selected protease. Preferably the cleavage site is highly specific for the selected protease.

The interchain loop region of certain clostridial neurotoxins, for example, BoNT/E, is naturally resistant to proteolytic cleavage *in vivo*. This protease resistance may reflect a secondary or tertiary structure that makes the  
20 loop more resistant to indigenous proteases than other clostridial neurotoxins. In one embodiment of the present invention, therefore, the inter-chain loop region of BoNT/E is substituted for the natural loop region occurring in another BoNT having greater therapeutic activity or duration of action, for example BoNT/A or /B. In another embodiment of the invention the loop region of  
25 BoNT/E is modified to contain a proteolytic cleavage site highly specific to a selected protease prior to the subcloning. The otherwise highly conserved BoNT/E loop region would be resistant to indigenous proteases, or those

encountered within a human, but would retain the ability to be activated by digestion with the selected protease.

Essentially, the selected protease therefore acts as an “activator” of neurotoxin toxicity (or modified toxin activity) that can be added at will  
5 following recombinant expression.

The selected protease may be any protease that recognizes a specific amino acid sequence and cleaves a peptide bond near or at that location, but the selected protease is very preferably not a human protease such as human trypsin, chymotrypsin or pepsin, or a host cell protease. Moreover, the selected protease  
10 does not recognize the same amino acid sequence as the indigenous proteases. Finally, the selected protease should not be one expressed by the host cell that contains the plasmid encoding the recombinant neurotoxin.

Any non-human protease recognizing a relatively rare amino acid sequence may be used, provided that the amino acid recognition sequence is  
15 also known. Examples of proteases to be selected as activators may include any of the following, without limitation: bovine enterokinase, plant proteases such as papain (from *Carica papaya*) and legumain, insect papain homolog (from the silkworm *Sitophilus zeamatus*), and crustacian papain homolog (decapod), Tobacco etch virus (TEV) protease, which cleaves the sequence EXXYXQS/G;  
20 GENENASE® from *Bacillus amyliquisfaciens*, which cleaves the sequence HY or YH; and PRESCISSION® protease from human rhinovirus 3C, which cleaves the amino acid sequence LEVLFQGP. As used above, the letter X indicates any amino acid.

Unless indicated otherwise, the following terms have the following  
25 meanings in this specification:

By “binding element” is meant an amino acid sequence region able to preferentially bind to a cell surface marker characteristic of the target cell under

physiological conditions. The cell surface marker may comprise a polypeptide, a polysaccharide, a lipid, a glycoprotein, a lipoprotein, or may have structural characteristics of more than one of these. By "preferentially interact" is meant that the disassociation constant ( $K_d$ ) of the binding element for the cell surface marker is at least one order of magnitude less than that of the binding element for any other cell surface marker. Preferably, the disassociation constant is at least 2 orders of magnitude less, even more preferably the disassociation constant is at least 3 orders of magnitude less than that of the binding element for any other cell surface marker to which the neurotoxin or modified neurotoxin is exposed.

The "translocation element" comprises a portion of a clostridial neurotoxin H chain having a translocation activity. By "translocation" is meant the ability to facilitate the transport of a polypeptide through a vesicular membrane, thereby exposing some or all of the polypeptide to the cytoplasm.

In the various botulinum neurotoxins translocation is thought to involve an allosteric conformational change of the heavy chain caused by a decrease in pH within the endosome.

This conformational change appears to involve and be mediated by the N terminal half of the heavy chain and to result in the formation of pores in the vesicular membrane; this change permits the movement of the proteolytic light chain from within the endosomal vesicle into the cytoplasm. See e.g., Lacy, et al., *Nature Struct. Biol.* 5:898-902 (October 1998).

The amino acid sequence of the translocation-mediating portion of the botulinum neurotoxin heavy chain is known to those of skill in the art; additionally, those amino acid residues within this portion that are known to be essential for conferring the translocation activity are also known.

It would therefore be well within the ability of one of ordinary skill in the art, for example, to employ the naturally occurring N-terminal peptide half of the heavy chain of any of the various *Clostridium tetanus* or *Clostridium botulinum* neurotoxin subtypes as a translocation element, or to design an  
5 analogous translocation element by aligning the primary sequences of the N-terminal halves of the various heavy chains and selecting a consensus primary translocation sequence based on conserved amino acid, polarity, steric and hydrophobicity characteristics between the sequences.

The "therapeutic element" of the present invention may comprise,  
10 without limitation: active or inactive (i.e., modified) hormone receptors (such as androgen, estrogen, retinoid, peroxysome proliferator and ecdysone receptors etc.), and hormone-agonists and antagonists, nucleic acids capable being of being used as replication, transcription, or translational templates (e.g., for  
15 expression of a protein drug having the desired biological activity or for synthesis of a nucleic acid drug as an antisense agent), enzymes, toxins (including apoptosis-inducing or -preventing agents), and the like.

In a preferred embodiment, the therapeutic element is a polypeptide comprising a clostridial neurotoxin light chain or a portion thereof retaining the SNARE-protein sequence-specific endopeptidase activity of a clostridial  
20 neurotoxin light chain. The amino acid sequences of the light chain of botulinum neurotoxin (BoNT) subtypes A-G have been determined, as has the amino acid sequence of the light chain of the tetanus neurotoxin (TeNT). Each chain contains the Zn<sup>++</sup>-binding motif **His-Glu-x-x-His** (N terminal direction at the left) characteristic of Zn<sup>++</sup>-dependent endopeptidases (HELIH in TeNT,  
25 BoNT/A /B and /E; HELNH in BoNT/C; and HELTH in BoNT/D).

Recent studies of the BoNT/A light chain have revealed certain features important for the activity and specificity of the toxin towards its target substrate,



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SNAP-25. Thus, studies by Zhou et al. *Biochemistry* 34:15175-15181 (1995) have indicated that when the light chain amino acid residue His<sub>227</sub> is substituted with tyrosine, the resulting polypeptide is unable to cleave SNAP-25; Kurazono et al., *J. Biol. Chem.* 14721-14729 (1992) performed studies in the presynaptic cholinergic neurons of the buccal ganglia of *Aplysia californica* using recombinant BoNT/A light chain that indicated that the removal of 8 N-terminal or 32 C-terminal residues did not abolish toxicity, but that removal of 10 N-terminal or 57 C-terminal residues abolished toxicity in this system. Most recently, the crystal structure of the entire BoNT/A holotoxin has been solved; the active site is indicated as involving the participation of His<sub>222</sub>, Glu<sub>223</sub>, His<sub>226</sub>, Glu<sub>261</sub> and Tyr<sub>365</sub>. Lacy et al., *supra*. (These residues correspond to His<sub>223</sub>, Glu<sub>224</sub>, His<sub>227</sub>, Glu<sub>262</sub> and Tyr<sub>366</sub> of the BoNT/A L chain of Kurazono et al., *supra*.) Interestingly, an alignment of BoNT/A through E and TeNT light chains reveals that every such chain invariably has these residues in positions analogous to BoNT/A. Kurazono et al., *supra*.

The catalytic domain of BoNT/A is very specific for the C-terminus of SNAP-25 and appears to require a minimum of 17 SNAP-25 amino acids for cleavage to occur. The catalytic site resembles a pocket; when the light chain is linked to the heavy chain via the disulfide bond between Cys<sub>429</sub> and Cys<sub>453</sub>, the translocation domain of the heavy chain appears to block access to the catalytic pocket until the light chain gains entry to the cytosol. When the disulfide bond is then reduced, the catalytic pocket is "opened" and the light chain is fully active.

As described above, VAMP and syntaxin are cleaved by BoNT/B, D, F, G and TeNT, and BoNT/C<sub>1</sub>, respectively, while SNAP-25 is cleaved by BoNT/A E and C<sub>1</sub>.

The substrate specificities of the various clostridial neurotoxin light chains other than BoNT/A are known. Therefore, the person of ordinary skill in the art could easily determine the toxin residues essential in these subtypes for cleavage and substrate recognition (for example, by site-directed mutagenesis or  
5 deletion of various regions of the toxin molecule followed by testing of proteolytic activity and substrate specificity), and could therefore easily design variants of the native neurotoxin light chain that retain or lack the same or similar activity.

10 In a particularly preferred embodiment, the single chain neurotoxin or neurotoxin derivative of the invention, altered as indicated above, is further modified to remove other incidental endogenous proteolytic sites such as those cleaved by trypsin, Arg C protease, chymotrypsin, or host cell proteases. As indicated below, modification of the primary amino acid sequences in these  
15 regions to confer protease resistance can increase the yield of the neurotoxin and reduce the toxicity of the single chain neurotoxin prior to cleavage and activation.

The amino acid sequences recognized by many proteases, and their cleavage specificity are well-known to those of skill in the art. Thus, both the  
20 design of a specific proteolytic cleavage site in the loop region between the L and H chain portions of the single-chain toxin and the modification of incidental protease sites in the polypeptide to be protease-resistant is a routine matter of comparing the specificity and recognition sequences for various proteins. In the first case, the specificity of a candidate proteolytic site need not be totally  
25 exclusive, but merely needs to exclude cleavage sites for human and/or host cell proteases that might be present during the handling, storage and purification of the single chain neurotoxin. Of course, it is preferable that the protease site is as

specific as possible. In the latter case, the modification of the proteolytic cleavage site need only be sufficient to render the site resistant to the activator protease and to human and host cell proteases.

In another preferred embodiment, the recombinant modified single chain  
5 neurotoxin is further modified by joining the chain to a binding tag comprising one member of a specific binding complex. By "specific binding complex" is meant two or more chemical or biochemical entities that will bind each other under defined environmental conditions and which will not significantly bind other chemical or biochemical entities present in the environment under the  
10 same conditions. Examples of members of a specific binding complex include, without limitation, an antibody and its antigen, a lectin and its target carbohydrate, a nucleic acid strand and its complementary nucleic acid strand, a cell surface receptor and its ligand, a metal and a compound able to form a coordination or chelation complex with that metal, and the like.

15 In this embodiment, the binding tag may be joined to the single chain toxin through a linker, preferably a cleavable linker. Examples of possible linkers, while not an exhaustive list, include 1) aliphatic dicarboxylic acids of the formula  $\text{HOOC}-(\text{CH}_2)_n-\text{COOH}$ , where  $n=1-12$  (may be linked at a free amino group); 2)  $\text{HO}-(\text{CH}_2)_n-\text{COOH}$ , where  $n>10$  (suitable for attachment at the  
20 amino terminus of the polypeptide), 3) substituted polybenzene structures, and 4) a N-hydroxysuccinimide (NHS) ester linker. The use of an linker containing an ester permits cleavage of the ester linker following use in the purification of the single chain neurotoxin under relatively mild acidic conditions.

Alternatively, and most preferably, the binding tag may comprise some  
25 or all of the amino acid sequence of an appropriately chosen polypeptide coexpressed with the single chain toxin as a fusion protein; such polypeptides may comprise, without limitation, the maltose binding domain of maltose

binding protein (MBP); a His<sub>6</sub> tag (a run of 6 histidine residues); the calmodulin binding domain of calmodulin binding protein; and the glutathione binding domain of glutathione-S-transferase. Other polypeptide binding tags are well known to those of skill in the art, and can easily be adapted for use in the  
5 present invention.

Additionally, the binding tag may be constructed to have a protease cleavage site between itself and either the amino terminus or the carboxyl terminus of the single chain toxin so as be removable following purification of the peptide. The proteolytic cleavage site may be designed to be cleaved by the  
10 same activator protease chosen to nick the single chain toxin between the H and L chains.

It is therefore an object of the invention to provide a recombinant activatable single chain neurotoxin molecule that has reduced toxicity compared to the native neurotoxin until activated by reaction with a non-clostridial  
15 protease. The single chain neurotoxin is more easily purified, is less dangerous to handle in the purification process, and can be optionally modified to give the toxin more desirable properties.

It is also an object of the invention to provide an method of making a recombinant activatable single chain neurotoxin by modifying the nucleotide  
20 sequence encoding the neurotoxin to replace the native amino acid proteolytic cleavage sequence separating the H and L chain with an amino acid sequence stable to indigenous clostridial or host cell proteases but susceptible to cleavage by chosen protease *in vitro*.

It is further an object of the present invention to provide more stable  
25 neurotoxin polypeptides through modification of the nucleotide sequence of the coding region of the H and L chains thereof, removing incidental proteolytic cleavage sites by causing the replacement of labile amino acids with other

amino acid residues which confer upon the toxin resistance to undesired proteolytic degradation.

Additionally, it is an object of the invention to provide methods of purifying recombinant neurotoxins as a single chain by joining the expressed  
5 single chain neurotoxin to a binding moiety comprising partner of a specific binding complex which can be used in the affinity purification with the binding partner comprising the other half of the binding complex. Purification can be performed batch-wise or in a chromatography column. The binding moiety may then be removed following the affinity step, and separated from the neurotoxin.

10 It is also an object of the invention to provide single-chain recombinant modified neurotoxin molecules for use as therapeutic agents. The modified neurotoxin molecules may have an altered target specificity or an altered activity compared to the native neurotoxin from which it is derived, or both.

It is also an object of the invention to provide a single chain activatable  
15 recombinant neurotoxin that may be more easily purified than the wild type neurotoxin. Such a neurotoxin permits the large scale preparation of properly folded highly pure toxin for clinical use.

The following Examples serve to illustrate particular embodiments of the invention, and do not limit the scope of the invention defined in the claims  
20 in any way.

#### Example 1

##### Construction of an Expression Vector Containing a Single Chain TeTx

25

##### Coding Region

005260-2624360

The present invention can be exemplified describing the construction of a plasmid that will express TeTx in *E. coli* as a single protein that is readily purified, i.e., by affinity chromatography. TeTx can be chosen as a pilot system because (i) the availability of an excellent vaccine greatly reduces the risk of its  
5 handling and (ii) it is the most comprehensively studied of the toxins in terms of expressing HC and LC domains. However, those of skill in the art will understand that the same or similar strategies may be employed using any dichain or binary toxin or other bioactive molecule expressed as a single polypeptide and activated by proteolytic cleavage. Single chain molecules were  
10 constructed containing the wild type TeTx L chain and a mutated version of the TeTx light chain wherein a glutamic acid residue at position 234 is changed to an alanine (termed "E234A", Ala<sup>234</sup>, or "the E234A mutant light chain"), respectively. This latter mutation results in an inactive TeTx light chain, and a plasmid encoding the E234A mutant light chain (pMAL-E234A) was  
15 constructed as described in Li et al., *Biochemistry* 33:7014-7020 (1994)(hereby incorporated by reference herein). The following protocol is used for the construction of each single-chain toxin.

The vector pTrcHisA, purchased from Invitrogen, is modified using a Stratagene QuickChange® site-directed mutagenesis kit (for site-directed  
20 mutagenesis techniques, see e.g., Smith et al., *J. Biol. Chem.* 253:6651-6560 (1979); incorporated by reference herein in its entirety) to create two extra restriction sites (SalI and HindIII) upstream of the nucleotides encoding a pre-existing enterokinase (EK) cleavage site. The plasmid also contains a translational start codon (ATG) followed by a run of codons encoding 6  
25 histidine residues immediately upstream of the enterokinase cleavage site. A multiple cloning site containing Bam HI, XhoI, Bgl II, Pst I, Kpn I, Eco RI BstB I and Hind III cleavage sites is located immediately downstream of the EK site;

the Hind III site is removed by site-directed mutagenesis. The following primers are employed to insert the restriction sites (underlined) upstream of the EK cleavage site:

5 SEQ ID NO: 1

GACTGGTGGACAGCAAGTCGACCGGAAGCTTTACGACGATGACG, and  
Sal I Hind III

10 SEQ ID NO: 2

CGTCATCGTCGTAAAGCTTCCGGTCGACTTGCTGTCCACCAGTC  
Hind III Sal I

15 The resulting plasmid contains both Sal I and Hind III sites located at the 5' side of the nucleotide sequence encoding the bovine enterokinase (EK) cleavage site.

The nucleotide sequence encoding the wild-type TeTx L chain is obtained from plasmid pMAL-LC, described in Li *et al.*, *Biochemistry* 33, 7014-7020 (1994), incorporated by reference herein. The plasmid encodes the TeTx light chain as a fusion protein with maltose binding protein (MBP) located immediately upstream of the coding sequence for the L chain. The MBP and L chain portions of the fusion protein are designed to contain the cleavage site for human blood coagulation factor Xa (Ile-Glu-Gly-Arg) to facilitate removal of the MBP once affinity purification has been performed.

25 The DNA fragment containing the coding sequence of the L chain is excised from plasmid pMAL-LC by digesting the plasmid with Sal I and Hind

III, gel purifying the resulting DNA fragment containing the L chain, and ligating this fragment into plasmid pTrcHisA at the newly created Sal I and Hind III sites upstream of the EK site. This fragment results in the excission of the maltose binding protein sequences from the N terminus of the L chain.

5           An identical procedure is used to subclone the DNA fragment containing a mutant L chain from plasmid pMAL-LC-Ala<sup>234</sup>, in which a single amino acid change is made at amino acid 234 of the L chain, substituting the native glutamic acid with alanine. This change is sufficient to abrogate the zinc endopeptidase activity of the L chain, and to render non—toxic a reconstituted  
10       tetanus toxin containing native H chain and the Ala<sup>234</sup> L chain.

          The DNA fragment containing the H chain is obtained from plasmid pMAL-HC; construction of this vector is described in Li et al., *J. Biochem.* 125:1200-1208(1999), hereby incorporated by reference herein. Briefly, the gene encoding the H chain is constructed by assembling three DNA fragments  
15       containing different portions of the H chain coding sequence which had been cloned into separate plasmids. The fragments comprising the amino terminal half of the H are first amplified using standard polymerase chain reaction methods (see, e.g., Mullis, U.S. Patent No. 4,683,202 and Mullis et al., U.S. Patent No. 4,800,159, both incorporated by reference herein in their entirety)  
20       and the following primers: PCR primers a (containing a Xba I cleavage site) and b (containing a Bgl II cleavage site) (SEQ ID NO: 3 and 4, respectively) are used to amplify the H chain fragment contained in a plasmid termed pTet8; PCR primers c (containing a Bgl II cleavage site) and d (containing both a Hind III and a Sal I cleavage site)(SEQ ID NO: 5 and 6, respectively) are used to amplify  
25       the H chain fragment contained in a plasmid termed pTet14. The nucleotide sequences of these primer are provided below, with restriction sites underlined.



SEQ ID NO: 3

AATAGATCTAGATCATTAACAGATTTAGGA (a)

5 SEQ ID NO: 4

TTCTAAAGATCTATACATTTGATAACT (b)

SEQ ID NO: 5

10

ATGTATAGATCTTTAGAATATCAAGTA (c)

SEQ ID NO: 6

ATCGATAAGCTTTTATCAGTCGACCCAACAATCCAGATTTTGTAGA (d)

15

Following PCR amplification and gel purification of the amplified H chain fragments, each fragment is digested with Bgl II and ligated to yield the complete N terminal half of the H chain coding region. This ligation product is then digested with Xba I and Hind III and subcloned into the multiple cloning site of pMAL-c2-T (the plasmid being also cut with Xba I and Hind III), which is located downstream of the coding region for MBP and the factor Xa site. pMAL-c2 is a commercially available vector well known to those of skill in the art. The resulting plasmid is pMAL-H<sub>N</sub>.

20 The entire H chain coding region is assembled as follows. The pMAL-H<sub>N</sub> plasmid is digested with Sac I and Sal I to yield the DNA fragment encoding the N-terminus of the H chain. Plasmid pTet215 is digested with Sal I and Bam HI to yield the DNA fragment encoding the H chain carboxyl terminus. The

vector pMAL-c2-T is digested with Sac I and Bam HI, and ligated to the digested H chain fragments, which will assemble in the proper orientation due to the use of distinct endonucleases. The resulting plasmid is pMAL-HC.

The DNA fragments encoding the H and L chains (including Ala<sup>234</sup> L chain) are cut and purified directly from pMAL-LC or pMALE234A and pMAL-HC constructs and subcloned into the modified pTrcHisA vector described above. The H chain was first ligated into the modified vector at the Bam HI site immediately downstream of the EK site, and the resulting plasmid was gel purified. Following digestion of this plasmid with Hind III and Sal I, the L chain was ligated at a position just upstream of the EK cleavage site.

The resulting plasmid construct contains the nucleotide sequence encoding the single-chain toxin protein, comprising (from amino to carboxyl terminus): six histidine residues (the His tag), followed by the L chain, an enterokinase cleavage site, and the H chain. The translated junction between the L and H chains containing the EK cleavage site (DDDDK) is shown below (in the direction from N-terminus to C-terminus) and in Figure 1.

SEQ ID NO: 7

**EK site**

20 SKLIGLCCKIIPPTNIRENLYNRTA-GEKLYDDDDKDRWGSSR-SLTDLGGELCIKNEDLTFIAEKN  
L chain interchain loop H chain

To allow expression of the two chains as a single unit, a nucleotide sequence comprising a stop codon present at the 3' end of the L chain coding sequence in the pMAL-LC is removed by site-directed mutagenesis using two primers (SEQ ID NO: 8 and 9), resulting in a single reading frame containing both H and L chains.

SEQ ID NO: 8

AATAGAACTGCAGGAGAAAAGCTTTACGACGATGAC, and

5                                   **TGATAA** (deleted stop codon; coding strand)

SEQ ID. NO: 9

GTCATCGTCGTAAAGCTTT**TTCTCCT**GCAGTTCTATT

10                                   TTATCA  
(deleted stop codon; non-coding strand)

The resulting pTrcHisA-based construct is transformed into *E. coli* strain JM109 by heat shock using the method of Hanahan, and transformant colonies are isolated on Luria agar plates containing 100 µg/ml ampicillin. Plasmids are purified from these transformants and the insertions are confirmed by analytical restriction endonuclease digestion and agarose gel electrophoresis.

EXAMPLE 2

Expression and Physical Characterization of Single-Chain TeTx

Expression of the pTrcHisA-based single chain TeTx construct (under  
5 control of a hybrid trp/lac promoter) is induced by addition of 1 mM IPTG  
(isopropyl thio-galactopyranoside) to a confluent culture of a representative  
transformant clone in 200 ml Luria broth containing 100 µg/ml ampicillin and  
incubating further at 37°C for 16 hours before cell harvest by centrifugation.

The cell pellets are resuspended in 30 ml Buffer A (20 mM Na<sub>2</sub>PO<sub>4</sub>, 500  
10 mM NaCl (pH 7.8)), then lysed by ultrasonication at 4°C, using 10-second  
bursts at a medium setting. Insoluble debris is removed by centrifugation at  
9,000 X g for 30 min at 4°C, and the supernatant recovered by centrifugation.

The supernatant containing each single chain construct is incubated for  
20 minutes at 22°C with 2 ml of nickel-ion resin (Invitrogen Corp.) for affinity  
15 purification by means of chelation between the histidine residues at the amino  
terminus of the single chain toxin molecule and the nickel. The resins were then  
load onto mini columns and washed with 200 ml of washing buffer (20 mM  
Na<sub>2</sub>PO<sub>4</sub>, 500 mM NaCl (pH 6.0)) to remove any non-specifically bound  
material, the recombinant single-chain proteins are eluted on 0.5 ml fractions  
20 with 8-15 ml of 100 mM imidazole in Buffer A. The concentration of the eluted  
single-chains was measured by Bradford's protein assay (Bio-Rad Laboratories);  
approximately 1 milligram of the fusion protein was recovered.

25

Example 3

SDS-PAGE and Western Blot Analysis of Recombinant Single-Chain  
TeTx

00648692-092500  
000000-000000

The single-chain TeTx constructs are grown in Luria broth containing  
5 ampicillin at 37°C, and aliquots taken both before and after induction of protein  
expression with IPTG. Crude cell extracts are prepared for SDS-PAGE by  
dilution in sample buffer under reducing conditions in the presence of  $\beta$ -  
mercaptoethanol (BME). Following SDS-PAGE electrophoresis, the separated  
proteins are Western-blotted as follows: the proteins are electrophoretically  
10 transferred to a polyvinylidenedifluoride (PVDF) membrane using standard  
methods (see, e.g., Sambrook et al., *Molecular Cloning, A Laboratory Manual*  
(2d ed. Cold Spring Harbor Laboratory Press 1989), hereby incorporated by  
reference in its entirety), the membrane treated to reduce background Ig binding,  
and then probed using an anti-His<sub>6</sub> antibody, followed by detection using an  
15 alkaline phosphatase-conjugated secondary antibody and development with a 5-  
bromo-4-chloro-3-indolyl-phosphate/nitro blue tetrazolium substrate.

As shown in lanes 1 and 2 of Figure 2A, the Western blot revealed no  
detectable TeTx expression before induction of protein synthesis; by contrast, a  
single band of approximate molecular weight 150 kDa was revealed in the  
20 aliquots taken following protein induction (See lanes 3 and 4.) In Fig 2A, lanes  
1 and 3 are the WT light chain construct and lanes 2 and 4 contain the E234A  
mutant construct.

Fig. 2B is a Western blot of IPTG-induced cell extracts from cells  
transformed with the E234A construct. Significantly, no discernable lower  
25 molecular weight proteolytic cleavage products of the light chain were  
observed, providing evidence for the relative stability of the single-chain toxin  
following expression and purification.

Figure 3 shows the results of a second experiment, in which affinity purified recombinant single-chain (SC) TeTx is nicked with enterokinase as follows. Thirty micrograms of purified single chain toxin are incubated with 1 unit of enterokinase in a solution containing 50 mM Tris-HCl (pH 8.0), 1 mM CaCl<sub>2</sub> and 0.1% Tween-20(v/v). As a control, the recombinant protein is  
5 incubated in the same reaction mixture containing no EK. These samples, plus an aliquot of native (non-recombinant) TeTx are subjected to SDS-PAGE in an 8% polyacrylamide gel under either reducing (+BME) or non-reducing (-BME) conditions. The resulting gel is used both for a Western blot and subsequent  
10 detection using anti-H claim antibodies (Fig 3B), and direct staining with Coomassie Blue (Fig 3A).

As indicated by Figure 3, under non-reducing conditions all three samples (Native TeTx (Lane 1), unnicked recombinant toxin (Lane 2), and enterokinase nicked recombinant toxin (Lane 3)) will migrate as doublets  
15 (apparently different conformers that resolve into a single band upon reduction) with essentially indistinguishable apparent molecular weights of about 150 kDa. The non-reducing gel confirms that 1) high levels of expression are obtained, 2) the disulfide bonds linking the light and heavy chains are fully formed, and 3) the recombinant single chain toxin is not subject to observable proteolytic  
20 degradation.

By contrast, under reducing conditions wild-type and nicked recombinant toxin yield an H chain having a molecular weight of about 100 kDa by both Western blot and Coomassie staining. Additionally, in the Coomassie stained gel, both of these samples also show a lower molecular weight species  
25 of about 50 kDa, corresponding to the L chain. The wild-type L chain will migrate with a lower apparent molecular weight than that of the recombinant L chain, which has 22 additional amino acid residues due to the presence of the

His<sub>6</sub> moiety and a modified EK cleavage site-containing interchain junction region. The unnicked recombinant toxin (Lane 2) migrates as a single band with an apparent molecular weight of about 150 kDa. Notably, no trace of the unnicked toxin is seen in lane 3, indicating the effectiveness of enterokinase  
5 treatment.

#### Example 4

##### In Vitro Toxin-Induced Paralysis by Recombinant Single-Chain TeTx

10 The biological activity of the recombinant TeTx is also examined and compared to wild-type toxin using mouse phrenic nerve hemi-diaphragm, since the native toxin is known to cause neuromuscular paralysis, albeit at higher concentrations than act in the CNS. For this experiment, mouse left phrenic nerve-hemidiaphragm is dissected from mice (T/O strain, 4-week old  
15 and ~20 g in weight) and immediately transferred into a closed circulatory superfusion system containing 10 ml of Krebs-Ringer solution (118 mM NaCl, 4.7 mM KCl, 1.2 mM MgSO<sub>4</sub>, 2.5 mM CaCl<sub>2</sub>, 23.8 mM NaHCO<sub>3</sub>, 1.2 mM KH<sub>2</sub>PO<sub>4</sub>, 11.7 mM glucose (pH 7.4)), bubbled with 95% O<sub>2</sub> and 5% CO<sub>2</sub> and supplemented with 0.1% (w/v) bovine serum albumin to diminish non-specific  
20 adsorption of the toxins (Li et al., Biochemistry 33:7014-7020 (1994)). The hemidiaphragms are kept in a bath containing 10 ml Krebs-Ringer buffer at 37°C for 10 minutes before being exposed to 4 or 10 nM native TeTx (▼ and ▽, respectively) or 10 nM nicked recombinant TeTx (●) or 10 nM un-nicked recombinant TeTx (○), respectively. (See Figure 4).

25 Muscle twitch is evoked by supra-maximal stimulation of the phrenic nerve with bipolar electrodes and recorded via a force-displacement transducer

(Lectromed, UK) connected to an amplifier and computer system (MacLab, AD Instruments, UK). Parameters of nerve stimulation are 0.2 Hz square waves of 0.1 msec duration with 1.5-2.5 V amplitude. Toxin-induced paralysis of neuromuscular transmission is quantified as the time required for nerve-evoked muscle contraction to decrease to 10% (90% reduction) of the original value.

As shown in Figure 4, 10 nM recombinant nicked TeTx was found to be as potent as 10 nM native toxin in blocking nerve-induced muscle twitch, with the preparations yielding a 90% reduction in muscle tension in approximately 170 minutes. Thus, this novel preparation of TeTx expressed in *E. coli* at high level as a single-chain, activatable polypeptide and purified by a simple affinity chromatography step proved to be fully active by all the criteria examined.

By contrast, 10 nM of the unnicked TeTx preparation require approximately twice as long to reduce muscle tension, and was approximately as active as 4 nM of the wild-type TeTx. As a control, hemidiaphragms incubated with KR buffer and the trace amount of enterokinase present in the experimental samples were found to show negligible decrease in muscle tension over 5 hrs.

Thus, this experiment indicates that the unnicked TeTx is considerably less toxic than either the wild type or recombinant nicked protein *in vitro*.

#### Example 5

##### Further Modification of Single Chain TeTx to Remove Proteolytic Cleavage Sites Reduces Toxicity of Unnickd Recombinant Toxin

While the unnicked recombinant single-chain form of TeTx displays reduced toxicity as compared to the nicked form, the residual toxin activity probably arises from activation of the toxin by additional proteases *in vivo*. To



test this possibility, sites in the single chain toxin molecule susceptible to proteolytic cleavage by trypsin and Arg C protease are identified by incubation of single-chain TeTx with these enzymes as follows. Fifty micrograms  $\mu\text{g}$  of recombinant single chain TeTx is incubated with 4  $\mu\text{g}$  of Arg-C at 37°C for 4 h; 5 0.1  $\mu\text{g}$  of trypsin at 37°C for 0.5 h; or buffer without protease as a control. These reactions are terminated by the addition of SDS-PAGE sample buffer containing 0.1% SDS followed by boiling for 5 minutes; then the samples are subjected to SDS-PAGE, followed by a Western electrophoretic transfer to a polyvinylidenedifluoride (PVDF) membrane. The membrane is blotted with 10 IgG specific for the His<sub>6</sub>-tag and detected using a horseradish peroxidase staining system.

As shown in Figure 5, the Western blot reveals that trypsin and Arg C protease yielded a L chain (and thus a H chain) fragment of the same size. Additionally, the transfer of a duplicate gel was stained for protein with Ponceau 15 red and the H chain band of approximate molecular weight 100 kDa was excised from each lane and analysed by N-terminal sequencing.

In the recombinant single-chain TeTx, the LC and HC are linked by 17 amino acids (GEKLYDDDDKDRWGSSR), followed by the beginning of the H chain sequence (SLTDLGGEL . . .). N-terminal amino acid sequencing of the 20 larger fragment produced by both trypsin and Arg C protease reveal that first 5 amino acids of the 100 kDa trypsin and Arg C protease cleavage product protein are SLTDL; thus, these proteases appear to cleave the single—chain toxin between the R-S bond (see Figure 1) so as to liberate the H chain and the L chain containing the EK linker at its C terminus, with this variant therefore 25 yielding a dichain toxin essentially identical to the EK nicked toxin.

The arginine at the carboxy terminus of the EK linker sequence is mutated by site-directed mutagenesis to a glycine (R496G), and the resulting

single chain toxin polypeptide is expressed and purified as above.

Titration of the 6 micrograms of the R496G mutated single chain (WT LC) toxin and the SC TeTx lacking such a mutation against 0, 0.01, 0.1, 1, 10  $\mu\text{g/ml}$  of trypsin, followed by SDS-PAGE and staining with Coomassie Brilliant  
5 Blue, yields the cleavage pattern seen in Figure 6. As can be seen, both single chain molecules are susceptible to trypsin cleavage; however the R496G mutant yields fewer fragments than the SC toxin not containing a mutation in the loop region between the chains. For example, while three trypsin peptide bands can clearly be seen near the light chain band upon trypsin cleavage of the SC WT  
10 toxin, only two such bands are seen in the R496G digests.

The fact that there exist remaining trypsin sites in the R496G mutant SC toxin probably accounts for the fact that this mutant does not cause the lowering of toxicity as compared to the un-nicked SC toxin; both preparations give similar values in the mouse lethality and neuromuscular paralysis assays  
15 described above.

A different assay system is used to measure neurotoxin activity toward CNS neurons, the cells naturally affected by TeTx. The cells used are cerebellar neurons; these cells are disassociated from the cerebella of 7 day old rats. Neurons are suspended at  $1-2 \times 10^6/\text{mL}$  in medium consisting of 3 parts Basal  
20 Eagle Medium and 1 part of a buffer consisting of 40 mM HEPES-NaOH (pH 7.3), 78.4 mM KCl, 37.6 mM D-glucose, 2.8 mM  $\text{CaCl}_2$ , 1.6 mM  $\text{MgSO}_4$  and 1.0 mM  $\text{NaH}_2\text{PO}_4$ , as well as 1 x N2 supplement, 1.0 mM L-glutamine, 60 units/mL penicillin, 60  $\mu\text{g/mL}$  streptomycin and 5% (v/v) dialysed horse serum. One milliliter of this cell suspension is added to 22 mm diameter poly-D-lysine  
25 coated wells. Cytosine  $\beta$ -D-arabinofuranoside (Ara-C, 40  $\mu\text{M}$ ) is added after 20-

24 hours in 5% (v/v)CO<sub>2</sub> culture, and neurons are maintained by weekly replacement of the above-noted medium containing 40 μM Ara-C.

For each assay, neurons are cultured for at least 10 days *in vitro* are washed four times with O<sub>2</sub>-gassed Krebs-Ringer HEPES buffer (KRH, mM: 20 HEPES.NaOH pH7.4, 128 NaCl, 5 KCl, 1 NaH<sub>2</sub>PO<sub>4</sub>, 1.4 CaCl<sub>2</sub>, 1.2 mM MgSO<sub>4</sub>, 10 D-glucose and 0.05 mg/mL BSA), and 0.5 mL of the latter buffer containing 0.25 μCi/mL [<sup>14</sup>C]-glutamine (i.e. the glutamate precursor) is added. All steps are performed at 37°C. After a 45 minute labeling period, the medium is removed and the neurons washed four times as before. Control and toxin-treated neurons are incubated for 5 minutes at 37°C in KRH buffer containing either 1.4 mM Ca<sup>2+</sup> or 0.5 mM EGTA (i.e. to assess Ca<sup>2+</sup>-independent release); aliquots are then removed and retained for assessment of [<sup>14</sup>C]-glutamate content by scintillation counting. Immediately after removal of the above basal medium, a modified KRH buffer containing 50 mM KCl (containing a lowered 83 mM NaCl content in order to maintain a normal osmotic potential) and either 1.4 Ca<sup>2+</sup> or 0.5 mM EGTA are added for a 5 minute stimulation period. Finally, neurons were solubilized with 20 mM EGTA.NaOH pH 7.5 containing 1% (w/v) SDS, and aliquots subjected to scintillation counting in order to calculate their remaining radioactive contents. The amounts of <sup>14</sup>C-glutamate in basal and stimulated samples are expressed as percentages relative to the calculated total cell content. The percentage [<sup>14</sup>C]-glutamate contents in EGTA-containing buffer are subtracted from the values recorded in Ca<sup>2+</sup>-containing samples in order to calculate the relevant Ca<sup>2+</sup>-dependent component of release and in turn the latter basal readings are subtracted from values obtained for 50 mM KCl samples to yield the K<sup>+</sup>-evoked Ca<sup>2+</sup>-dependent glutamate release component.

Figure 8 demonstrates the ability of the recombinant toxin to inhibit neurotransmitter release. Cerebellar neurons, maintained for 10 days *in vitro*,

were washed twice with ice-cold KRH buffer containing 5 mM  $Mg^{2+}$  and 0.5 mM  $Ca^{2+}$ , then exposed in this buffer to the specified concentrations of (●) native TeTx, (⊙) EK- nicked TeTx R496G, (▼) single chain unnicked TeTx, or (▽) EK-nicked TeTx E234A for 60 min at 4°C (see Fig 8). Native TeTx (0.2 nM) was then added to the wells specified and, after an additional 30 min, the neurons were washed three times with ice-cold KRH buffer and incubated for 30 min at 37°C. Subsequent assessment of  $K^{+}$ -evoked  $Ca^{2+}$ -dependent neurotransmitter release was performed as detailed above. The results of this assay are shown in Figure 8.

When cerebellar neurons are exposed to nicked recombinant TeTx, a dose-dependent inhibition of  $Ca^{++}$  dependent transmitter release is seen with a potency similar to the native toxin. Nicked recombinant SC TeTx, both WT and R496G, gave similar values in this assay. Thus, while toxin activity in the unnicked single chain molecule is not abrogated through the removal of a single trypsin cleavage site, the removal of additional such sites is feasible in regions of the single chain toxin to achieve an activatable single-chain proform of the toxin that exhibits even lower toxicity unless activated *in vitro*, when its full activity can be achieved.

#### Example 7

##### Protease-deficient TeTx mutant antagonises the actions of TeTx on peripheral and central neurons.

Table 1 shows the tabulated results of the indicated TeTx constructs tested in three assays of toxin activity: ability to cleave the HV62 peptide (which measures proteolytic activity only); neuromuscular paralysis (which is an

indication of the toxin molecules' ability to enter the cell and thence to inhibit neurotransmitter release), and mouse lethality upon intraperitoneal injection of the various toxin constructs. The first two of these assays was performed as described above.

5           The mouse lethality assay was performed essentially as follows:  
Samples of recombinant purified single-chain TeTx, R496G mutant TeTx, and E234A mutant TeTx are each divided into two aliquots and one aliquot treated with enterokinase to nick the toxin. All samples are serially diluted into 50 mM phosphate buffer (pH 7.0), 150 mM NaCl and 0.25% (w/v) bovine serum  
10   albumin (BSA), and the toxin preparations are injected into mice intraperitoneally.

As shown in Table 1, the native and nicked TeTx preparations were comparably active in the mouse lethality assay, having an LD<sub>50</sub> of about 1 X 10<sup>8</sup>/mg. The unnicked recombinant toxin and unnicked R496G mutant were  
15   both about half as active. Finally, the nicked E234A proteolytically inactive toxin was less than 5 X 10<sup>7</sup> fold less active.

Table 1

| <b>Biological Activity of SC TeTx wild type and mutants (E234A and R496G) before and after nicking with enterokinase</b> |   |  |   |
|--|---|--|---|
| <b>Purified TeTx preparations</b>  | <b>Initial rate of cleavage<sup>a</sup> of HV62 (nmol. min<sup>-1</sup>mg<sup>-1</sup>) [Relative rate (%)]</b> | <b>Mouse lethality<sup>b</sup> (LD50/mg)</b> | <b>Time (min.) for 10 nM to cause 90% neuromuscular paralysis</b> |
| <b>Native</b>  | 20.3 ± 0.91   | 1 X 10 <sup>8</sup>                          | 145   |
| <b>Un-nicked SC WT</b>   | 8.0 ± 0.03  | 0.5 X 10 <sup>8</sup>                        | 260   |
| <b>Nicked<sup>c</sup> SC WT</b>  | 22.7 ± 3.37   | 1 X 10 <sup>8</sup>                          | 150   |
| <b>Un-nicked SC R496G</b>  | 11.7 ± 0.6  | 0.5 X 10 <sup>8</sup>                        | 250 ± 15  |
| <b>Nicked<sup>c</sup> SC R496G</b>   | 52.3 ± 4.9  | 1 X 10 <sup>8</sup>                          | 135 ± 10  |
| <b>Un-nicked SC E234A</b>  | ≤ 0.01 <sup>d</sup>   | Not tested                                   | Not tested  |
| <b>Nicked<sup>c</sup> SC E234A</b>   | ≤ 0.01 <sup>d</sup>   | < 50   | No detectable activity  |

<sup>a</sup>Initial rates of proteolysis were measured using the RP-HPLC-based method detailed in Foran *et al.* (1994). Incubations with 15 μM of a synthetic peptide corresponding to residues 33 to 94 of human VAMP-2 (HV62) were performed at 37°C in 50 mM HEPES, NaOH pH 7.5 containing 2 mM DTT 0.2 mg.ml<sup>-1</sup> BSA and 50 μM ZnCl<sub>2</sub>, using the appropriate concentration of each reduced toxin preparation required to proteolyze 10-15 % of the substrate during a 30 min period. Data are means (± S.D.; n = 4).

<sup>b</sup>LD<sub>50</sub> is the amount of toxin that killed 50% of the injected mice within 4 days.

<sup>c</sup>Toxin preparations were nicked with EK (1 unit/30μg) at 22°C for 1 h.

<sup>d</sup>This v<sup>o</sup> value represents the detection limits of the RP-HPLC assay; no proteolysis of HV62 was observed using prolonged incubations.

Purified SC E234A TeTx, in which the catalytic E at position 234 was replaced by an A, failed to show any detectable proteolysis of a peptide containing residues 33 to 94 of human VAMP-2 (termed HV62), either before or after nicking with EK. Accordingly, nicked TeTx E234A proved to be devoid of toxicity in mice and unable to inhibit transmitter release at the neuromuscular junction or from cerebellar neurons.

Importantly, however, this mutant toxin retained the ability to bind to the cell surface receptors on peripheral and central neurons. Pre-incubation of cerebellar neurons with nicked (10-60 nM) or unnicked (7-40 nM) TeTx E234A

at 4°C followed by the addition of 0.2 nM native toxin, antagonized the native toxin's inhibition of transmitter release at 37°C to similar extents (Fig. 7).

As demonstrated in Figure 9, exposure of mouse diaphragm to 100 nM TeTx E234A at 4°C for 60 minutes prior to adding 1 nM native toxin prolonged  
5 the time taken to cause neuromuscular paralysis.

Mouse phrenic-nerve hemi-diaphragm was incubated in KR at 37°C with 20 nM recombinant TeTx E234A ( $\Delta$ ) whilst stimulating the nerve (0.2 Hz, 1.5-2.5v) and recording muscle tension. For assessing competition, hemi-diaphragms were incubated for 60 minutes at 4°C with MKR containing 0.1%  
10 BSA only ( $\square$ ), or the latter plus 100 nM nicked TeTx E234A ( $\bullet$ ), before the addition of 1 nM native TeTx. Following 30 minutes exposure to the latter, the tissues were washed three times with MKR and twice with KR. The temperature was raised to 37°C and the nerve stimulated with recording of the evoked muscle twitch, as outlined above. This apparent competition for toxin  
15 binding by the mutant seen with both tissues demonstrates that the recombinant dichain TeTx exhibits much higher affinity for the cell surface receptors than the heavy chain or H<sub>c</sub> of TeTx alone. These results suggest that the conformation of the recombinant dichain TeTx has high affinity to the cell surface receptor.

Moreover, and very significantly, these data demonstrate that  
20 recombinant molecules can be made according to the inventive methods of the present patent application having specific binding for the same cellular receptor as TeTx. However, such molecules may, like the E234A mutant, be inactive as toxin molecules but will retain the ability to be taken up by the target cell; thus  
25 serving as potential transporter molecules.

Example 8

Expression of Single Chain BoNT/A

Using methods similar to those described above, DNA fragments  
5 containing the BoNT subtype A neurotoxin H and L chains were ligated  
together, separated by the EK cleavage site. This single-chain toxin coding  
sequence was inserted into a variety of expression vectors containing different N  
terminal sequences and promoters, as shown in Table 2, below.

10

Table 2

| Vector    | Promoter | Fusion Tag                    | Tag<br>Size<br>(amino<br>acids) | Fusion Size<br>(kDa) | <i>E. coli</i> strain |
|-----------|----------|-------------------------------|---------------------------------|----------------------|-----------------------|
| pTrcSCPHY | trc      | Poly His                      | 18                              | 150                  | JM109                 |
| pCalSCPHY | T7       | Calmodulin<br>binding protein | 31                              | 154                  | BL21 (DE3)            |
| pETSCPHY  | T7       | Poly His                      | 32                              | 154                  | BL21 (DE3)            |
| pGEXSCPHY | tac      | Glutathione-S-<br>transferase | 224                             | 177                  | JM109                 |
| pMALPHY   | tac      | Maltose Binding<br>Protein    | 390                             | 193                  | JM109                 |

The “fusion tags” each comprised a member of a specific binding  
complex as a purification aid and to improve the solubility and stability of the  
15 expressed protein. These plasmids were transformed into the *E. coli* strains  
indicated in Table 2 and expression of the single-chain toxin was monitored.

In another experiment, the single-chain BoNT/A construct was inserted  
into plasmid pMAL-c2 between the Bam HI and Hind III restriction sites,  
resulting in a coding sequence for a fusion polypeptide containing the maltose  
20 binding protein at the N terminus, followed by a Factor Xa cleavage site.



Transformant JM 109 colonies were selected in Luria broth containing  
ampicillin. Expression was induced by the addition of IPTG to a final  
concentration of 0.3 mM. As for the TeTx construct, aliquots of the cell culture  
were collected before and after induction, the cells in each sample lysed by  
5 sonication, and the supernatant prepared for SDS-PAGE under both reducing  
and non-reducing conditions. Following electrophoresis to separate the proteins  
according to apparent molecular weight, the gel was subjected to a Western blot  
using an antibody raised against the H chain of BoNT/A. The Western blot  
resulted in the appearance of an immunologically reactive single-chain toxin  
10 band of apparent molecular weight approximately 200 kDa. Further  
modifications of the single-chain BoNT molecule to eliminate fortuitous  
protease cleavage sites (similar to those modifications made at the TeTx site  
labile to trypsin and Arg C protease, described above) will result in even greater  
stability of the single-chain BoNT/A molecule.

15

#### Example 9

#### Construction of a Plasmid Vector Expressing BoNT/E

A plasmid expressing a single-chain recombinant version of the  
20 neurotoxin from *Clostridium botulinum* subtype E(strain Beluga) (BoNT/E) was  
constructed as follows. PCR primers were designed based on the EMBL  
database cDNA sequence of the BoNT/E neurotoxin (Genbank accession number  
X62089) This nucleotide sequence is represented herein as SEQ ID NO: 10.

25 gaattcaagt agtagataat aaaaataatg ccacagattt ttattatttaa taatgatata  
tttatctcta actgtttaac tttaacttat aacaatgtaa atatatattt gtctataaaa  
aatcaagatt acaattgggt tatatgtgat cttaatcatg atataccaaa aaagtcatat  
ctatggatat taaaaaatat ataaatttaa aattaggaga tgctgtatat gccaaaaatt  
aatagtttta attataatga tcctgttaat gatagaacaa ttttatatat taaaccaggc  
30 ggttgtcaag aattttataa atcatttaat attatgaaaa atatttggat aattccagag  
agaaatgtaa ttggtacaac cccccaagat tttcatccgc ctacttcatt aaaaaatgga

**PATENT**  
**Dolly et al.**

**Docket No. 17311-(AP)**

gatagtagtt attatgaccc taattatttta caaagtgatg aagaaaagga tagatttttta  
aaaatagtc caaaaatatt taatagaata aataataatc tttcaggagg gatttttatta  
gaagaactgt caaaagctaa tccatatttta gggaaatgata atactccaga taatcaattc  
catattgggtg atgcatcagc agttgagatt aaattctcaa atggtagcca agacatacta  
5 ttacctaattg ttattataat gggagcagag cctgattttat ttgaaactaa cagttccaat  
atcttctctaa gaaataatta tatgccaaagc aatcaccggt ttggatcaat agctatagta  
acattctcac ctgaatattc ttttagattt aatgataatt gtatgaatga atttattcaa  
gatcctgctc ttacattaat gcatgaatta atacattcat tacatgggact atatggggct  
aagggtatta ctacaaagta tactataaca caaaaacaaa atccccta atacaaatata  
10 agagggtacaa atattgaaga attcttaact tttggaggta ctgattttaa cattattact  
agtgtctcagt ccaatgatat ctataactaat cttctagctg attataaaaa aatagcgtct  
aaacttagca aagtacaagt atctaatacca ctacttaatc cttataaaga tgtttttgaa  
gcaaagtatg gattagataa agatgctagc ggaattttat cggtaaatat aacaaatatt  
aatgatattt ttaaaaaatt atacagcttt acggaatttg atttacgaac taaatttcaa  
15 gttaaattgta ggcaaaactta tattggacag tataaatact tcaaactttc aaacttgta  
aatgattcta ttataaatat atcagaaggc tataatataa ataattttaa ggtaaatatt  
agaggacaga ttgcaaattt aaatcctaga attattacac caattacagg tagaggacta  
gtaaaaaaaa tcattagatt ttgtaaaaat attgtttctg taaaaggcat aaggaaatca  
atagtgtatcg aaataaataa tgggtgagtta ttttttgtgg cttccgagaa tagttataat  
20 gatgataata taaatactcc taaagaaatt gacgatacag taacttcaa taataattat  
gaaaatgatt tagatcaggt tatttttaaat ttttaatagt aatcagcacc tggactttca  
gatgaaaaat taaatttaac tatccaaaat gatgcttata taccaaaata tgattctaat  
ggaacaagtg atatagaaca acatgatgtt aatgaactta atgtattttt ctatttagat  
gcacagaaag tgcccgaagg tgaaaaaat gtcaatctca cctcttcaat tgatacagca  
25 ttattagaac aacctaaaat atatacattt ttttcatcag aatttattaa taatgtcaat  
aaacctgtgc aagcagcatt atttgtaagc tggatacaac aagtgttagt agattttact  
actgaagcta accaaaaaag tactgttgat aaaattgcag atatttctat agttgttcca  
tatataggtc ttgcttttaa tataggaaat gaagcacaaa aaggaaattt taaagatgca  
cttgaattat taggagcagg tatttttatta gaatttgaac cagagctttt aattcctaca  
30 attttagtat tcacgataaa atctttttta ggttcatctg ataataaaaa taaagtattt  
aaagcaataa ataatgcatt gaaagaaaga gatgaaaaat ggaaagaagt atatagtttt  
atagtatcga attggatgac taaaatfaat acacaattta ataaaagaaa agaacaatat  
tatcaagctt tacaaaaatca agtaaatgca attaaaacaa taatagaatc taagtataat  
agttatactt tagaggaaaa aaatgagctt acaaataaat atgatattaa gcaaatagaa  
35 aatgaactta atcaaaagggt ttctatagca atgaataata tagacagggt cttaactgaa  
agttctatat cctatttfaat gaaaataata aatgaagtaa aaattaataa attaagagaa  
tatgatgaga atgtcaaaac gtattttattg aattatatta tacaacatgg atcaatcttg  
ggagagagtc agcaagaact aaattctatg gtaactgata ccctaaataa tagtattcct  
tttaagcttt cttcttatac agatgataaa attttaattt catattttta taaattcttt  
40 aagagaatta aaagtgttc agtttttaaat atgagatata aaaatgataa atacgtagat  
acttcaggat atgttcaaa tataaatatt aatggagatg tatataaata tccaactaat  
aaaaatcaat ttggaatata taatgataaa cttagtgaag ttaatatatc tcaaaatgat  
tacatttatat atgataataa atataaaaaat tttagtatta gtttttgggt aagaattcct  
45 aactatgata ataagatagt aaatgttaat aatgaatata ctataataaa ttgtatgaga  
gataataatt caggatggaa agtatctctt aatcataatg aaataatttg gacattcgaa  
gataatcgag gaattaatca aaaattagca tttaactatg gtaacgcaaa tggattttct  
gatttatataa ataagtggat ttttgtaact ataactaatg atagattagg agattctaaa  
ctttatatta atggaaattt aatagatcaa aaatcaattt taaatttagg taatattcat  
50 gttagtgaac atatatatt taaaatagtt aattgtagtt atacaagata tattggtatt  
agatattttta atatttttga taaagaatta gatgaacag aaattcaaac ttttatatagc  
aatgaacctt atacaaatat tttgaaggat ttttggggaa attatttgct ttatgacaaa  
gaatactatt tattaaatgt gttaaaacca aataacttta ttgataggag aaaagattct  
actttaagca ttaataatat aagaagcact attcttttag ctatagatt atatagtga  
ataaaagtta aaatacaaa agttaataat agtagtacta acgataatct tgttagaaag  
55 aatgatcagg tatatatata tttttagccc agcaaaactc acttatttcc atttatgct  
gatacagcta ccacaaataa agagaaaaca ataaaaatat catcatctgg caatagattt  
aatcaagtag tagttatgaa ttcagtagga aattgtacaa tgaattttta aaataataat  
ggaaataata ttgggttggt aggttttcaag gcagatactg tcgttgctag tacttggtat  
tatacacata tgagagatca tacaacagc aatggatgtt tttggaactt tatttctgaa

gaacatggat ggcaagaaaa ataaaaatta gattaaacgg ctaaagtcac aaattcc

The forward primer had the following nucleotide base sequence:

CCCGGATCC CCA **AAA ATT AAT AGT TTT AAT TAT AAT G**

5

SEQ ID NO: 11

where the BamHI endonuclease site is underlined and the sequence of the light chain minus the start codon is in bold. The inverse primer had the sequence:

CCCCTGCAG tca **TTT TTC TTG CCA TCC ATG TTC TTC**

SEQ ID NO: 12

10 where the PstI endonuclease site is underlined, the end of the coding region of the heavy chain is in bold, and the stop codon is in lower case. These primers were made using standard DNA synthesis methodology.

The two primers were used in a PCR reaction containing different amounts of *Clostridium botulinum* type E (strain beluga) chromosomal DNA.

15 The PCR reaction employed a DNA polymerase with proofreading activity (Pfx DNA polymerase, obtained from Life Technology) in order to avoid sequence errors in the amplified gene. The amplification reaction conditions were as follows: 30 cycles of: a 45 second denaturation at 95 °C, followed by a 45 second annealing step at 56 °C, followed by a primer extension reaction for 3  
20 minutes 48 seconds at 68 °C.

The PCR product was digested with BamHI and HindIII, and the digest subjected to agarose gel electrophoresis. Staining of the agarose gel with ethidium bromide revealed a major DNA fragment of approximately 3.5 kilobases (see Fig. 10). The band containing this fragment was excised from  
25 the gel, and the DNA purified from the agarose and ligated to BamHI and HindIII-cut pQE30 vector (Qiagen). The resulting ligated plasmid was used to transform *E. coli* strain JM 109 as described above, and the transformants plated

onto selective LB agar plates. Several clones were recovered and the presence of the correct BoNT/E DNA insert checked by restriction digest. The resultant construct contains the BoNT/E gene (minus the first methionine) fused to the His<sub>6</sub> tag of the pQE30 vector, and contains 2 extra amino acid residues (glycine, serine), which are contributed by the engineered BamHI site.

#### Example 11

##### Construction Of A Proteolytically-Inactive Mutant Of BoNT/E By Site Directed Mutagenesis

10

By mutating the glutamic acid at position 212 (within the active site) of the BoNT/E polypeptide construct to glutamine, a proteolytically-inactive and non-toxic single chain BoNT/E polypeptide was obtained.

The glutamine replacement was introduced on the forward primer using routine site directed mutagenesis methods. The mutagenic DNA primer had the sequence

cag TTA ATA CAT TCA TTA CAT GGA CTA TAT G

SEQ ID NO: 13

where the codon encoding glutamine at position 212 is indicated in small letters.

An inverse PCR reaction was performed using the above primer, along with the reverse primer

ATG CAT TAA TGT AAG AGC AGG ATC TT

SEQ ID NO: 14

And Pfx DNA polymerase (Life Technology) as above. The PCR template was the wild-type single-chain BoNT/E construct (termed pQEESCwt). The cycling parameters (30 cycles) were as follows: 1) a 45 second denaturation step at 95

°C; 2) a 45 second annealing step at 56 °C; ,and 3) a 7 minute 10 second extension step at 68 °C.

At the end of the amplification reaction, the DNA template was digested by the restriction enzyme DpnI to permit selection of mutated clones only.

5 After subjecting the PCR product to agarose gel electrophoresis, a band of approximately 7 kilobases was removed and the DNA purified and used for self-ligation in the presence of T4 DNA ligase (Promega) and polynucleotide kinase (Promega) to permit phosphorylation of the PCR product. The ligation mixture was used to transform *E.coli* strain DH10B, and the transformants plated onto  
10 selective agar plates. The presence of the correct plasmide construct was verified in several representative transformants by restriction digest and the mutation confirmed also by DNA sequencing. Figure 11 shows the protocol for construction of the mutant BoNT/E plasmid, and an ethidium bromide-stained agarose gel of the PCR reaction mixture (lanes 2 and 3) versus molecular weight  
15 markers (lane 1).

#### Example 12

##### Purification of Single Chain Recombinant BoNT/E

20 The presence of the histidine tag at the N-terminus of the expressed protein allowed a single-step purification of the recombinant neurotoxin by metal-affinity chromatography.

The *E. coli* strain M15 (Qiagen) was used for expression of the BoNT/E single-chain construct. This strain carries an endogenous plasmid (pREP4,  
25 kanamycin resistant) containing a region encoding the lac I<sup>q</sup> repressor gene in order to prevent transcription of the neurotoxin gene prior to induction with

IPTG. The pQE30 vector contain a T5 bacteriophage RNA polymerase promoter, which is also recognized by *E. coli* RNA polymerase.

A colony of M15 cells containing pQEESCwt was grown at 37°C overnight in 5 ml of 2TY medium containing 0.1 mg/ml ampicillin; 0.025mg/ml  
5 kanamycin and 0.2% glucose (w/v), and the resultant culture used to inoculate 500 ml of the same medium. When this second culture reached an optical density of 0.5-0.8 at 600nm, IPTG was added to a final concentration of 0.3 mM and the culture incubated at 25°C overnight to permit expression of the neurotoxin.

Subsequent centrifugation of the culture yielded ~ 2.3 g of wet cell pellet  
10 which was resuspended in 10 ml of extraction buffer (20 mM Hepes pH 7.0, 300 mM NaCl, 5mM benzamidine, 2 µM pepstatin and 2 µM E-64). Lysozyme was added to a final concentration of 0.25 mg/ml, and the cell suspension incubated on ice for 60 minutes. Approximately 0.5 ml of glass beads (0.1 mm diameter from Biospec) was added to the cell suspension, followed by vortexing for 2  
15 minutes to break the cells. Cell-free extracts was obtained by centrifugation at 10,000xg for 30 minutes at 4 °C. The supernatant was incubated with 0.5 ml of Talon® cobalt metal affinity resin (Clontech) pre-washed with extraction buffer in a rocking platform for 45 minutes at 4°C. The resin was then loaded into a  
20 disposable chromatography column and washed twice with 10 bed volumes of wash buffer (20 mM Hepes pH 7.0, 300 mM NaCl, 2 mM imidazole) before eluting the bound neurotoxin in 6 bed volumes of elution buffer (20 mM Hepes pH 7.0, 300 mM NaCl, 150 mM imidazole).

The elute was dialyzed overnight at 4 °C against 10 mM Hepes (pH 7.0) containing 150 mM NaCl and concentrated by centrifugal filtration (MW cutoff  
25 10 KDa) to a final concentration of 1 mg/ml protein.

As shown in Figure 12, the purity of the affinity-purified toxin was demonstrated by SDS-PAGE under reducing conditions, followed by Coomassie staining and Western-blotting, detecting the N-terminus with a mouse monoclonal anti-His antibody from Quiagen (diluted 2000 fold). Enhanced  
5 Chemiluminescence solutions (Santa Cruz) and mouse secondary horseradish peroxidase (affinity purified from Sigma) were used for detection of bound antibody. Approximately 2  $\mu$ g of protein samples were loaded per well.

Example 13

10 Trypsin activation of Purified Recombinant BoNT/E single-chain Polypeptide.

Purified BoNT/E single-chain neurotoxin polypeptide samples were activated by nicking the single chain with trypsin (1.5  $\mu$ g/ml final concentration) for 60 minutes at a concentration of 1mg toxin/ml in 10 mm Hepes (pH 7.0),  
15 150 mM NaCl. Following the reaction, the trypsin was inactivated using 0.5 mM PMSF and 10  $\mu$ g trypsin inhibitor/ml. The quality of the trypsinization was assessed and verified by SDS-PAGE under both reducing and non-reducing conditions, then staining with Coomassie staining and Western blotting the polyacrylamide gel using a mouse monoclonal anti-His antibody (Quiagen,  
20 diluted 2000-fold) and a mouse monoclonal anti-H<sub>C</sub> IgG (diluted 26-fold). As shown in Figure 13, the Commassie-stained nicked protein resolves into two bands under reducing conditions, while the heavy and light chains remain disulfide-linked under non-reducing conditions, similar to the native toxin. The antibody-detected recombinant heavy chain is of approximately identical size as  
25 its wild-type Clostridium counterpart, whereas the recombinant light chain migrates at a slightly higher molecular weight compared to the native protein.

This latter characteristic is due to the extra residues provided by the His<sub>6</sub> tag at the N-terminus.

Example 14

5    Recombinant BoNT/E is Proteolytically Active

Stock solutions (1 µM) of native nicked BoNT/E toxin, un-nicked single-chain recombinant toxin, nicked di-chain recombinant toxin, and nicked mutant (E212Q) BoNT/E were prepared in HEPES-buffered saline (HBS, 150 mM  
10    NaCl, 10 mM HEPES, pH 7.4, 10 µg/ml BSA). These samples were incubated for 30 minutes at 37°C in the absence or presence of 20 mM DTT, and then serially diluted in 0.02 ml of HBS to the final concentrations shown in Figure 14.

A recombinant peptide containing amino acids 140-205 of SNAP-25 fused to glutathione-S-transferase (termed GST-SNAP-25 [140-205]) was used  
15    as a protease substrate to test the proteolytic activity of the recombinant BoNT/E polypeptides. Ten micrograms this protease substrate was incubated with the toxin samples. The digestion reaction was allowed to proceed for 30 minutes at 37°C in the absence or presence of 2 mM DTT, and stopped by addition of SDS-PAGE sample buffer followed by boiling for 5 minutes.

20    The resultant samples were analyzed by SDS-PAGE (3 µg of GST-SNAP-25 [140-205] per lane) and silver staining. As Figure 14 demonstrates, even unnicked recombinant single chain toxin retains proteolytic activity. As expected, the mutant E212Q BoNT/E construct has no detectable proteolytic activity. Figure 14 shows only the GST-SNAP-25[140-205] bands.

25

Example 15



Nicking makes Recombinant BoNT/E fully functional

Cerebellar neurons maintained for 10 days in culture ( $2 \times 10^6/22$  mm diameter well) were washed with Krebs-Ringer HEPES (KRH) buffer, then  
5 exposed to the specified concentrations of BoNT/E native (●), trypsin-nicked recombinant (○), or un-nicked single-chain (▼) BoNT/E. (See Fig 15). After 60 minutes at 37°C, the toxin-containing buffer was removed and the cells were washed twice, then incubated with KRH buffer containing 0.25  $\mu\text{Ci/ml}$  [ $^{14}\text{C}$ ]-labeled glutamine (i.e. the glutamate precursor). After 45 minutes, the latter  
10 medium was removed and the neurons were washed four times at 37°C prior to assessment of transmitter glutamate release. Control and toxin-treated neurons were incubated for 5 minutes at 37°C in KRH buffer containing either 1.4 mM  $\text{Ca}^{2+}$  or 0.5 mM EGTA to assess  $\text{Ca}^{2+}$ -independent release; aliquots were then removed for determination of their [ $^{14}\text{C}$ ]-glutamate content (see below).

15 Immediately after removal of the basal medium, KRH buffer containing 50 mM KCl and either 1.4 mM  $\text{Ca}^{2+}$  or 0.5 mM EGTA was added; as before, aliquots were removed for [ $^{14}\text{C}$ ]-glutamate assay after a 5 minute stimulation period. Finally, neurons were solubilized with 20 mM EGTA.NaOH pH 7.5 containing 1% (w/v) SDS and aliquots were removed to determine the amounts  
20 of radioactivity remaining within the cells. The amount of [ $^{14}\text{C}$ ]-glutamate in each of the samples was assayed by scintillation counting and the levels released under basal and stimulated conditions were expressed as percentages relative to the calculated total cell content.

The percent [ $^{14}\text{C}$ ]-glutamate content in the EGTA-containing buffer for  
25 each sample was subtracted from the values recorded in  $\text{Ca}^{2+}$ -containing KRH samples in order to obtain the  $\text{Ca}^{2+}$ -dependent component of release, and the latter basal readings were subtracted from values obtained for 50 mM KCl

samples to yield K<sup>+</sup>-evoked Ca<sup>2+</sup>-dependent release. The values, thus, obtained from toxin-treated neurons are expressed relative to toxin-free controls.

Figure 15 shows that, despite retaining proteolytic activity, the un-nicked recombinant BoNT/E has markedly less activity than either the native BoNT/E or the nicked recombinant version. This finding may reflect the inability of the un-nicked toxin to adequately enter the target cell. Additionally, the nicked recombinant version appears to be more effective in inhibiting glutamate release than the native toxin.

#### Example 16

Recombinant BoNT/E has a Neuromuscular Paralytic Activity Equivalent to that of the Native Toxin at Mouse Neuromuscular Endplates: Nicking Increases Potency.

Mouse phrenic-nerve hemi-diaphragms were bathed in KR supplemented with 0.1% BSA and saturated with 95% O<sub>2</sub> / 5% CO<sub>2</sub>. The phrenic nerves were stimulated (0.2 Hz, 1.5 – 2.5 mV) and nerve evoked muscle tension was recorded before and after the addition of (Fig. 16A) 0.2 nM recombinant nicked BoNT/E (○) or 0.2 nM native BoNT/E (□), and (Fig. 16B) 1 nM recombinant un-nicked (○), 1 nM recombinant nicked (●) or 0.05 nM recombinant nicked (▽) BoNT/E. As shown in Figures 16A and 16B, the recombinant nicked BoNT/E is an effective paralytic agent, displaying greater activity in this assay than the native toxin. The un-nicked toxin displays significantly lower activity than the nicked toxin in this assay.

The neuromuscular paralytic activity of recombinant nicked BoNT/E was also demonstrated in mice by intra-muscular injection into hind-limb muscles.

This resulted in paralysis, as assessed by the toe spread reflex assay, with a pattern of symptoms typical of botulism.

The *in vivo* neurotoxicity of the nicked, recombinant neurotoxin was established, by injecting the toxin into mice, to have a specific neurotoxicity of  
5 less than  $10^7$  mouse LD<sub>50</sub> units per mg.

10 Example 17

The BoNT/E E212Q Protease Inactive Mutant Antagonises BoNT/E-induced Neuroparalysis

A mouse phrenic-nerve hemi-diaphragm was exposed to 10 nM BoNT/E  
15 E212Q in KR medium, the nerve was stimulated and evoked muscle tension was recorded. As indicated by Figure 17, the BoNT E212Q mutant does not inhibit neurotransmission, as determined by its failure to reduce nerve-evoked muscle tension (○). To assess the ability of this non-toxic mutant to antagonise the activity of the native toxin, mouse phrenic-nerve hemi-diaphragms were bathed  
20 for 60 minutes at 4°C in MKR supplemented with 0.1 % BSA and saturated with 95 % O<sub>2</sub>/5% CO<sub>2</sub>, without (□) or with (▲) the inclusion of 5 nM BoNT/E E212Q. Native nicked BoNT/E was added to each bath (0.05 nM final) and the tissues were incubated for a further 30 min. The nerve-muscles were then  
25 washed three times each with MKR followed by KR, before the temperature was raised to 37°C, the nerve stimulated and evoked muscle tension recorded.

As shown in Figure 17, the onset of native BoNT/E activity in this assay was delayed and antagonized when the phrenic-nerve hemi-diaphragms are preincubated with the E212Q protease inactive mutant, thereby indicating that

the recombinant mutant faithfully binds to the same cell surface receptor as does the native toxin. Thus, the methods of the present patent application can be used to produce recombinant and modified toxins having fully functional receptor binding domains, and BoNT-related transported molecules for the intracellular  
5 delivery of therapeutic agents.

Those of skill in the art will understand that the Examples provided herein describe preferred compositions and methods, and that a variety of different cloning strategies, protease cleavage sites, and specific binding  
10 complex members may be employed in the practice and use of the present invention while remaining within the invention's scope. Additionally, different dichain or binary toxin molecules and modified versions thereof (for example, BoNT/B-E and modified variants thereof) may be used as the basis for the methods and compositions of the present invention.

15

CLAIMS

What is claimed is:

- 5 1. An isolated single-chain polypeptide comprising:
- a) a first amino acid sequence region comprising
- i) a first domain comprising a binding element able to
- specifically bind a target cell surface marker under
- physiological conditions; and
- 10 ii) a second domain comprising a translocation element able to
- facilitate the transfer of a polypeptide across a vesicular
- membrane; and
- b) a second amino acid sequence region comprising a therapeutic
- element having biological activity when released into the cytoplasm
- 15 of the target cell,
- wherein said first and second amino acid sequence regions are separated
- by a third amino acid sequence region comprising a protease cleavage
- site which is cleaved when exposed to a protease, provided that said
- protease is not normally expressed by a cell expressing said single-chain
- 20 polypeptide.
2. The polypeptide of claim 1 wherein said first amino acid sequence
- region comprises at least a portion of a clostridial neurotoxin H chain.
- 25 3. The polypeptide of claim 2 wherein said portion of the clostridial
- neurotoxin H chain is derived from the neurotoxin heavy chain of *C.*
- botulinum* subtype A.

4. The polypeptide of claim 2 wherein said second amino acid sequence region comprises at least a portion of a clostridial neurotoxin L chain.
- 5 5. The polypeptide of claim 4 wherein said portion of the clostridial neurotoxin L chain is derived from that obtained from one of *C. botulinum* subtype A, B or E.
6. The polypeptide of claim 2 wherein said portion of the clostridial  
10 neurotoxin H chain is derived from that obtained from *C. tetani*.
7. The polypeptide of claim 2 wherein said portion of the clostridial  
neurotoxin H chain is derived from the neurotoxin heavy chain of an  
organism selected from the group consisting of *C. botulinum* subtype B,  
15 *C. botulinum* subtype C; *C. botulinum* subtype D; *C. botulinum* subtype E; *C. botulinum* subtype F; *C. botulinum* subtype G; *C. baratii*; and *C. butyricum*.
8. The polypeptide of claim 1 wherein said first domain comprises a  
20 binding element able to bind the surface of pancreatic acinar cells.
9. The polypeptide of claim 8 wherein said second domain comprises a clostridial neurotoxin L chain.
- 25 10. The polypeptide of claim 1 wherein said binding element specifically binds a target cell surface marker of a sensory afferent neuron.

11. The polypeptide of any one of claims 1-10 wherein said protease cleavage site is cleaved by a protease selected from the group consisting of:
- a) A non-human enterokinase;
  - 5 b) tobacco etch virus protease;
  - c) a protease derived from *Bacillus subtilis*;
  - d) a protease derived from *Bacillus amyliquifaciens*;
  - e) a protease derived from a rhinovirus;
  - f) papain;
  - 10 g) an insect papain homolog and
  - h) a crustacian papain homolog.
12. The polypeptide of claim 1 wherein said protease cleavage site comprises an amino acid sequence selected from the group consisting of:
- 15 a) DDDDK;
  - b) EXXYXQS/G;
  - c) HY;
  - d) YH; and
  - e) LEVLFQGP,
  - 20 wherein X = any amino acid.
13. The polypeptide of claim 1 or claim 11 further comprising a binding tag.
14. The polypeptide of claim 13 wherein said binding tag comprises a target-binding portion of a polypeptide selected from the group consisting of
- 25 His<sub>6</sub>, monoclonal antibodies, maltose binding protein, glutathione-S-transferase, protein A, and calmodulin binding protein.

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15. The polypeptide of claim 1 wherein said first amino acid sequence region comprises at least a portion of a heavy chain derived from a first clostridial neurotoxin subtype and said second amino acid sequence  
5 region comprises at least a portion of a light chain derived from a second clostridial neurotoxin subtype, wherein said first and second clostridial neurotoxin subtypes are not the same.
16. The polypeptide of claim 15 wherein said heavy chain portion is from a  
10 heavy chain selected from the group consisting of HC<sub>A</sub>, HC<sub>B</sub>, HC<sub>C1</sub>, HC<sub>D</sub>, HC<sub>E</sub>, HC<sub>F</sub>, and HC<sub>G</sub> and said light chain portion is from a light chain selected from the group consisting of LC<sub>A</sub>, LC<sub>B</sub>, LC<sub>C1</sub>, LC<sub>D</sub>, LC<sub>E</sub>, LC<sub>F</sub>, LC<sub>G</sub> , and wherein said heavy and light chain portions hare from different clostridial neurotoxin subtypes.
17. The polypeptide of claim 16 wherein at least one of said heavy and light chain portions comprise less than the entire heavy or light chain from said clostridial neurotoxin subtype, respectively.
18. The polypeptide of claim 16 wherein at least one of said heavy and light chain portions comprises the entire heavy or light chain from said clostridial neurotoxin subtype, respectively.
19. The polypeptide of any of claims 1, 2, 8, 10 or 15 wherein said first or  
25 second amino acid sequence region is modified to eliminate at least one amino acid sequence specifically cleaved by a protease.



20. A plasmid having a nucleic acid sequence region comprising an open reading frame encoding a cleavable single-chain polypeptide, said open reading frame comprising:
- a) a first nucleotide sequence region comprising
    - i) a first portion encoding a first amino acid sequence region comprising a binding element able to specifically bind a target cell surface marker under physiological conditions; and
    - iii) a second portion encoding a second amino acid sequence region comprising a translocation element able to facilitate the transfer of a polypeptide across a vesicular membrane;
  - b) a second nucleotide sequence region encoding a third amino acid sequence region comprising a therapeutic element having biological activity when released into the cytoplasm of the target cell, and
- wherein said first and second nucleotide sequence regions are separated by a third nucleotide sequence region encoding a fourth amino acid sequence comprising a protease cleavage site which is cleaved when exposed to a protease, provided said protease is not normally expressed by a cell expressing said single-chain polypeptide, and wherein said single-chain polypeptide is expressed by said plasmid within a suitable host cell.
21. The plasmid of claim 20 wherein said first or second nucleotide sequence region further encodes an amino acid sequence region comprising a binding tag.
22. The plasmid of claim 21 wherein said binding tag comprises a target-binding portion of a polypeptide selected from the group consisting of

His<sub>6</sub>, monoclonal antibodies, maltose binding protein, glutathione-S-transferase, protein A, and calmodulin binding protein.

- 5      23.      The plasmid of claim 20 wherein said first nucleotide sequence region encodes at least a portion of a clostridial neurotoxin heavy chain.
- 10      24.      The plasmid of claim 23 wherein said first nucleotide sequence region encodes at least a portion of a *Clostridium botulinum* neurotoxin heavy chain.
- 15      25.      The plasmid of claim 23 wherein said first nucleotide sequence region encodes at least a portion of a *Clostridium tetani* neurotoxin heavy chain.
- 20      26.      The plasmid of either of claims 20 or 23 wherein said second nucleotide sequence region encodes at least a portion of a clostridial neurotoxin light chain.
- 25      27.      The plasmid of claim 26 wherein said second nucleotide sequence region encodes at least a portion of a *Clostridium botulinum* neurotoxin light chain.
28.      The plasmid of claim 26 wherein said second nucleotide sequence region encodes at least a portion of a *Clostridium tetani* neurotoxin light chain.

29. The plasmid of claim 20 wherein said first nucleotide sequence region encodes a binding element which will specifically bind a cell type other than a motor neuron.
- 5 30. The plasmid of claim 29 wherein said first nucleotide sequence region encodes a binding element that will specifically bind a cell type selected from the group consisting of a pancreatic acinar cell and a sensory afferent neuron.
- 10 31. The plasmid of claim 20 wherein said second nucleotide sequence region encodes a therapeutic element other than a clostridial neurotoxin light chain.
- 15 32. A method of making a single-chain polypeptide derived from a clostridial neurotoxin comprising:
- a) inserting the plasmid of any one of claims 20-31 into a suitable host cell,
  - b) growing said host cell in culture, and
  - 20 c) permitting or inducing the host cell to express the single chain polypeptide encoded by said plasmid.
33. A method of purifying the recombinant single chain polypeptide of claim 13 comprising the steps: lysing a cell expressing said single chain
- 25 polypeptide to produce a cell lysate, and contacting said cell lysate with a target compound so as to form a specific binding complex capable of

being immobilized comprising said binding tag and said target compound.

34. An isolated single-chain polypeptide comprising:

- 5 a) a first amino acid sequence region comprising
- i) a first domain comprising a binding element able to specifically bind a target cell surface marker under physiological conditions; and
  - 10 ii) a second domain comprising a translocation element able to facilitate the transfer of a polypeptide across a vesicular membrane; and
- b) a second amino acid sequence region comprising a therapeutic element having biological activity when released into the cytoplasm of the target cell,
- 15 wherein said first and second amino acid sequence regions are separated by a third amino acid sequence region comprising the interchain loop region of a BoNT/E neurotoxin.

**ABSTRACT**

Compositions comprising activatable recombinant neurotoxins and polypeptides derived therefrom. The invention also comprises nucleic acids  
5 encoding such polypeptides, and methods of making such polypeptides and nucleic acids.

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**Fig. 1**

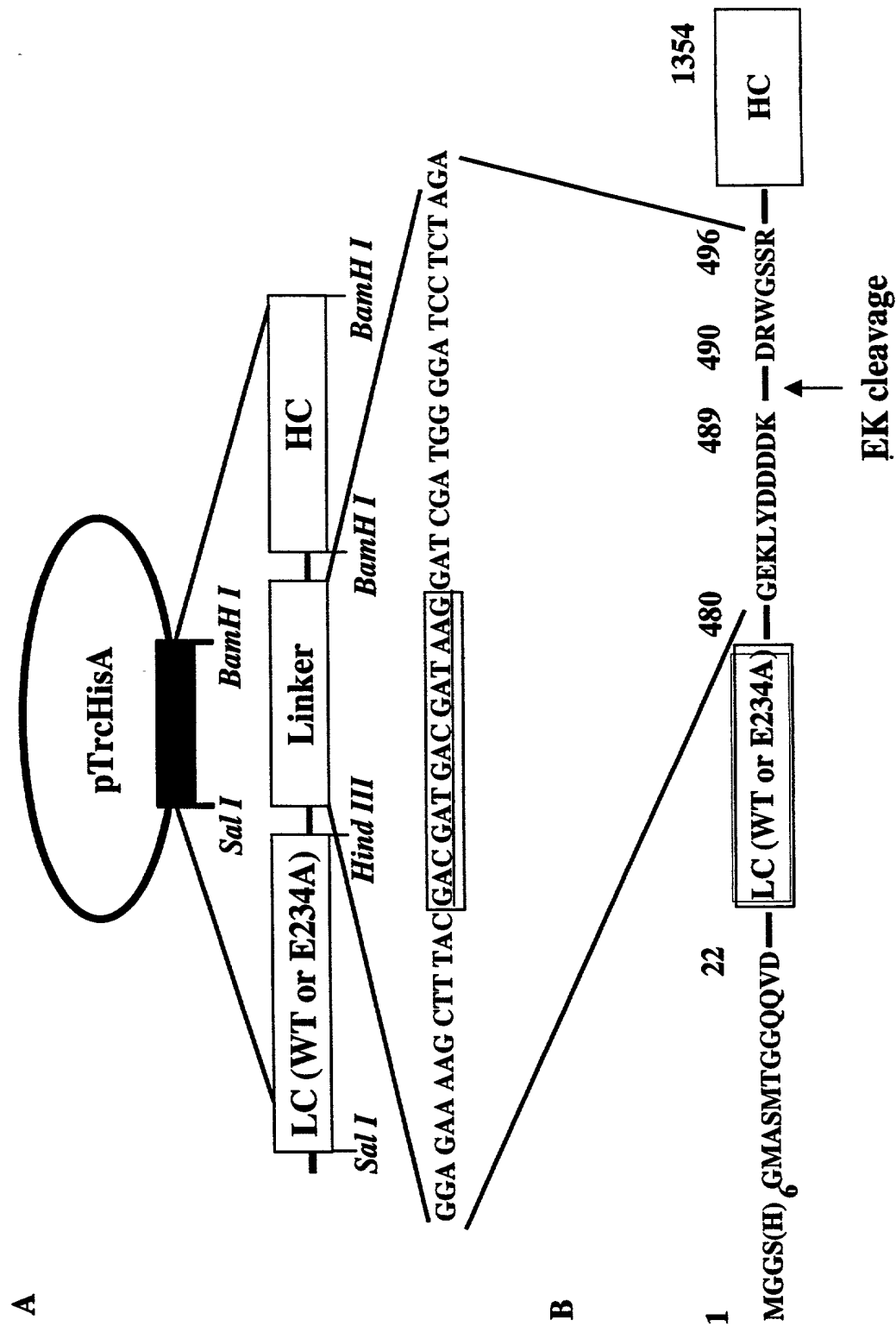


Fig. 2

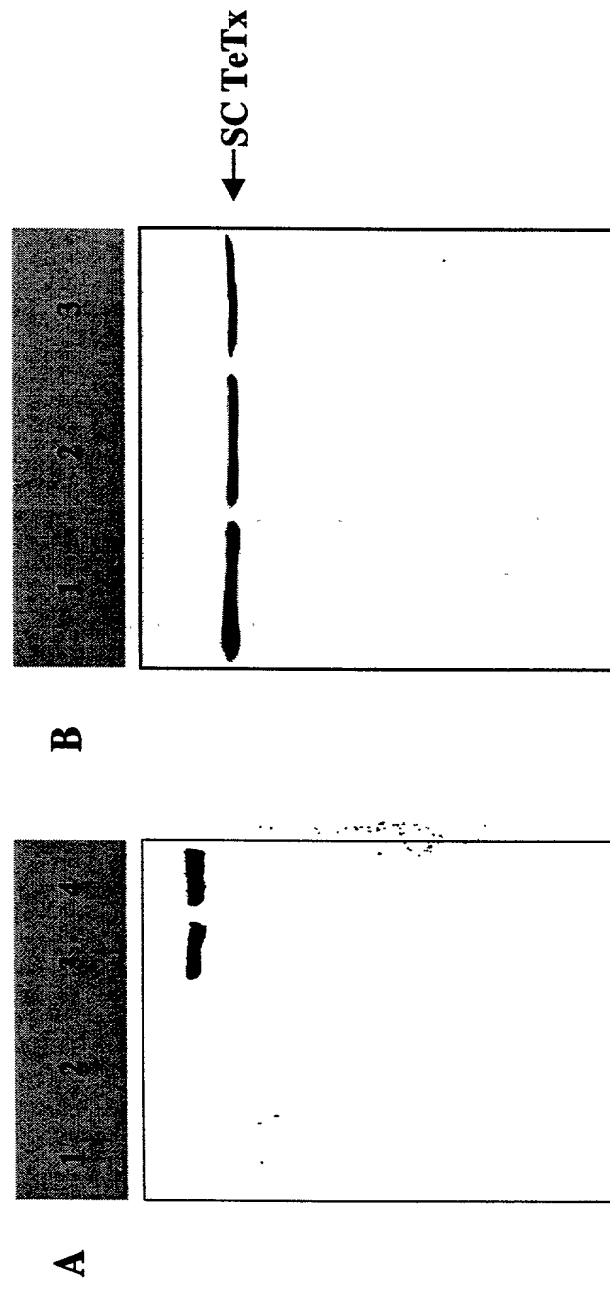


Fig. 3

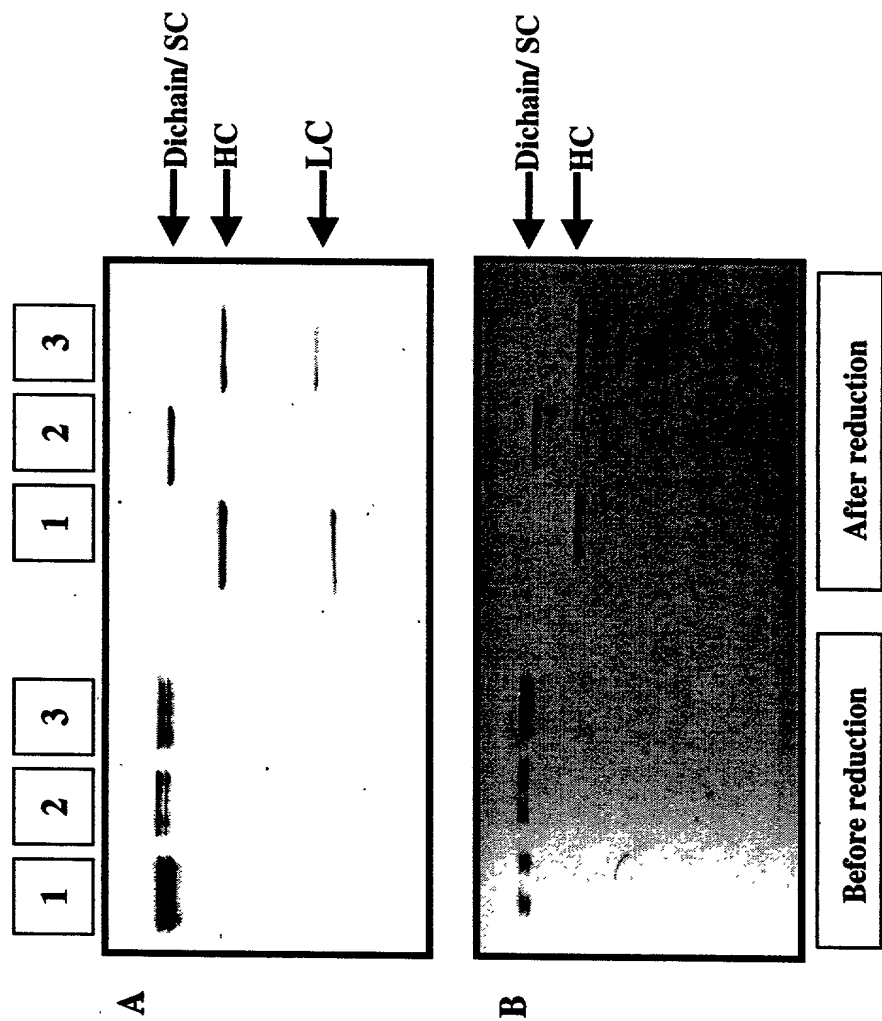




Fig. 4

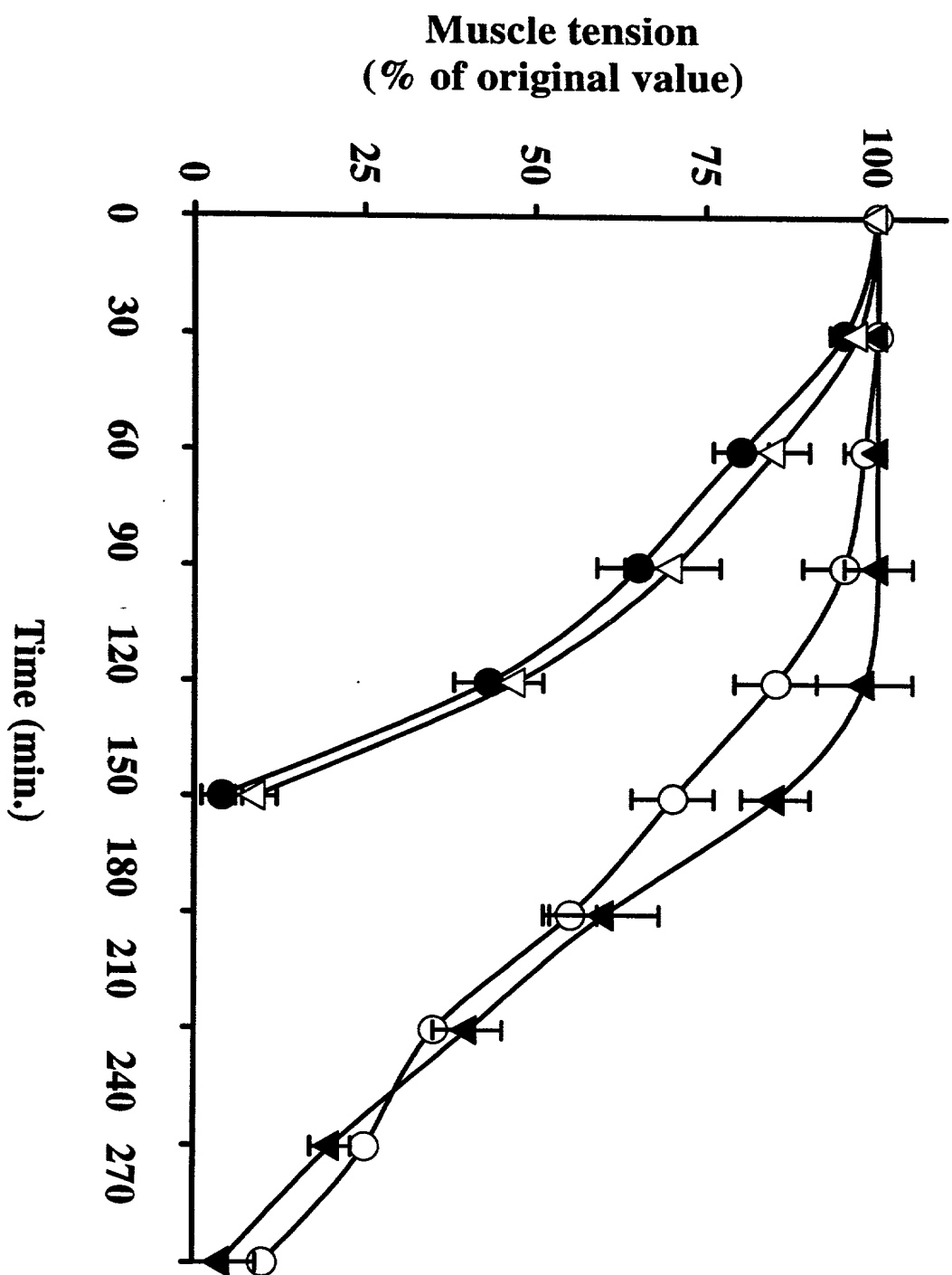


Fig. 5

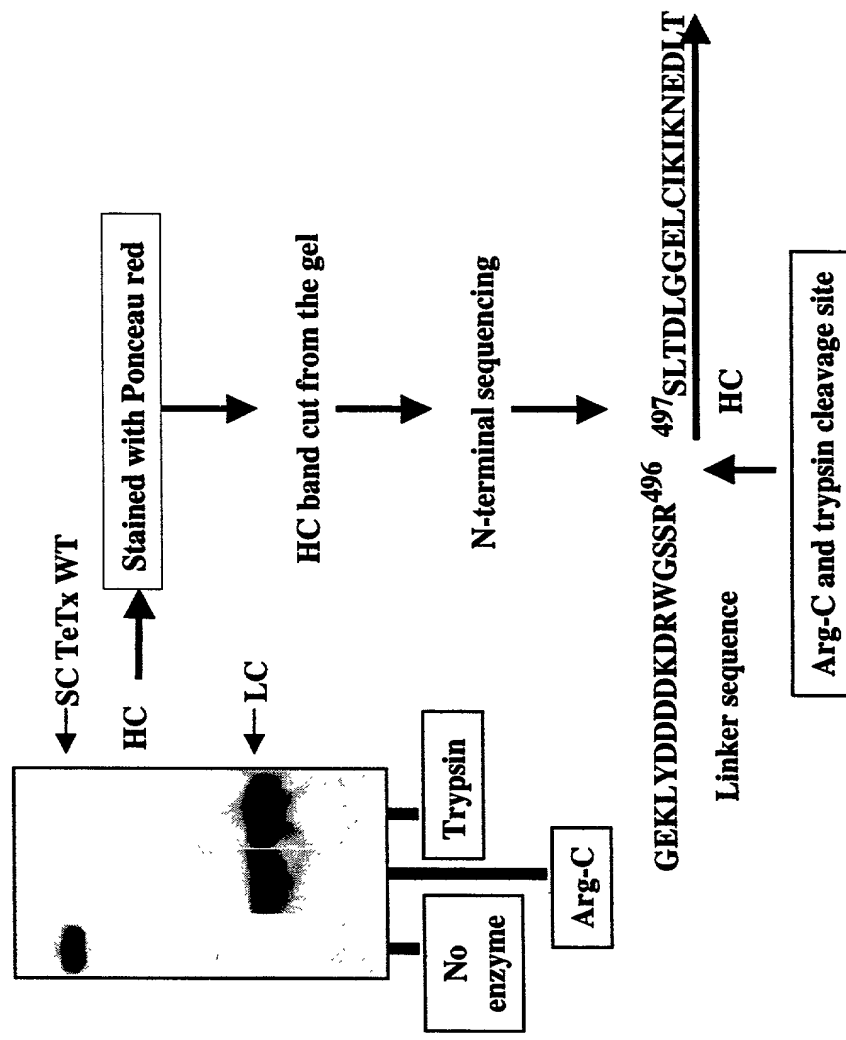


Fig. 6

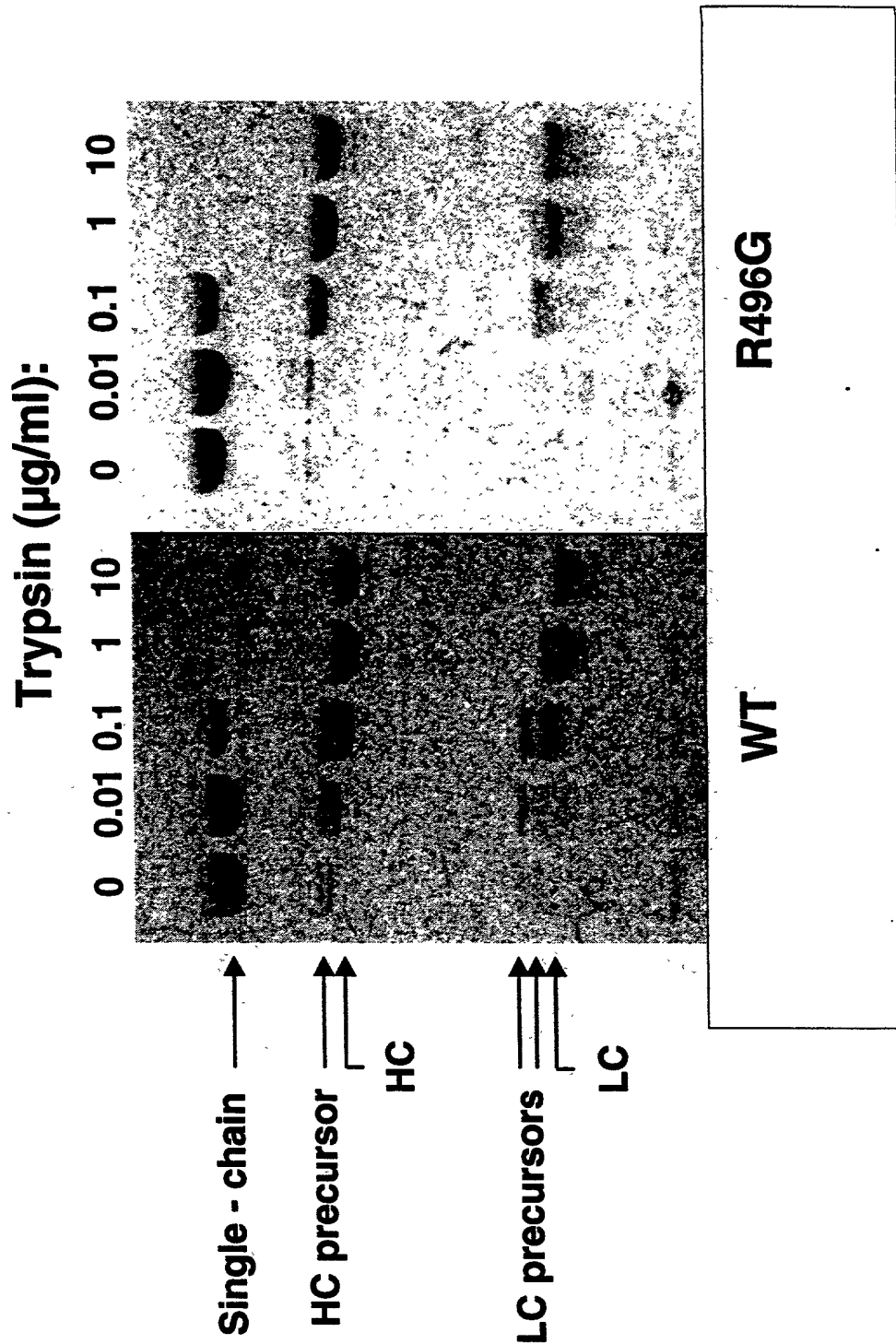


Fig. 7

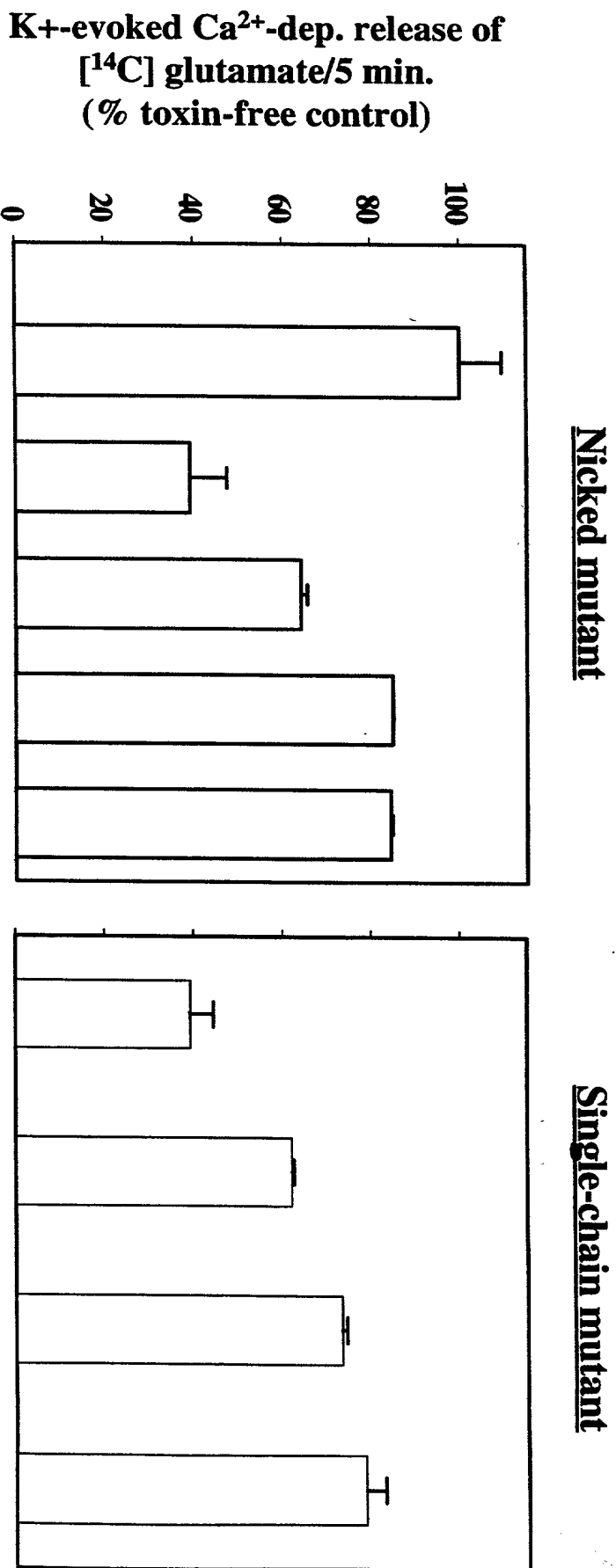
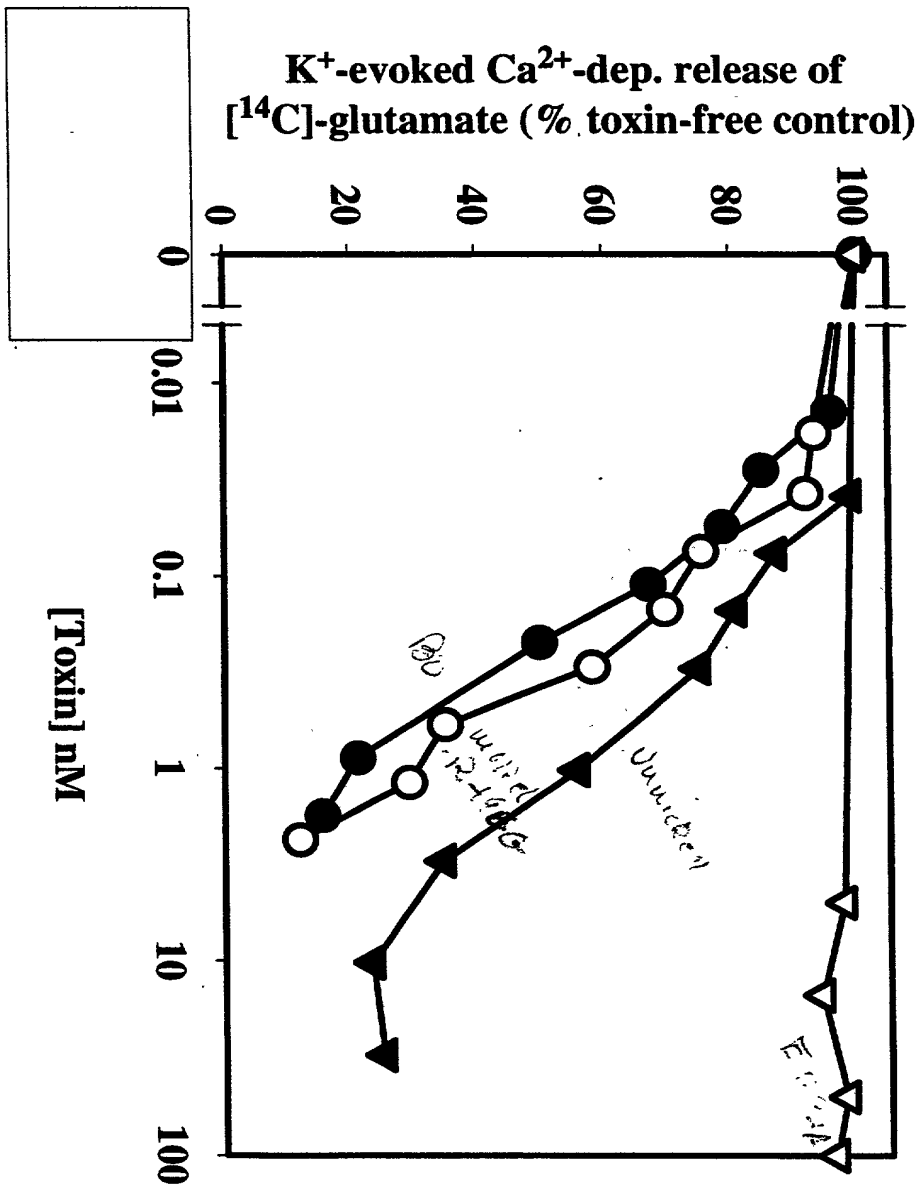


Fig. 8



09548692.082500

Fig. 9

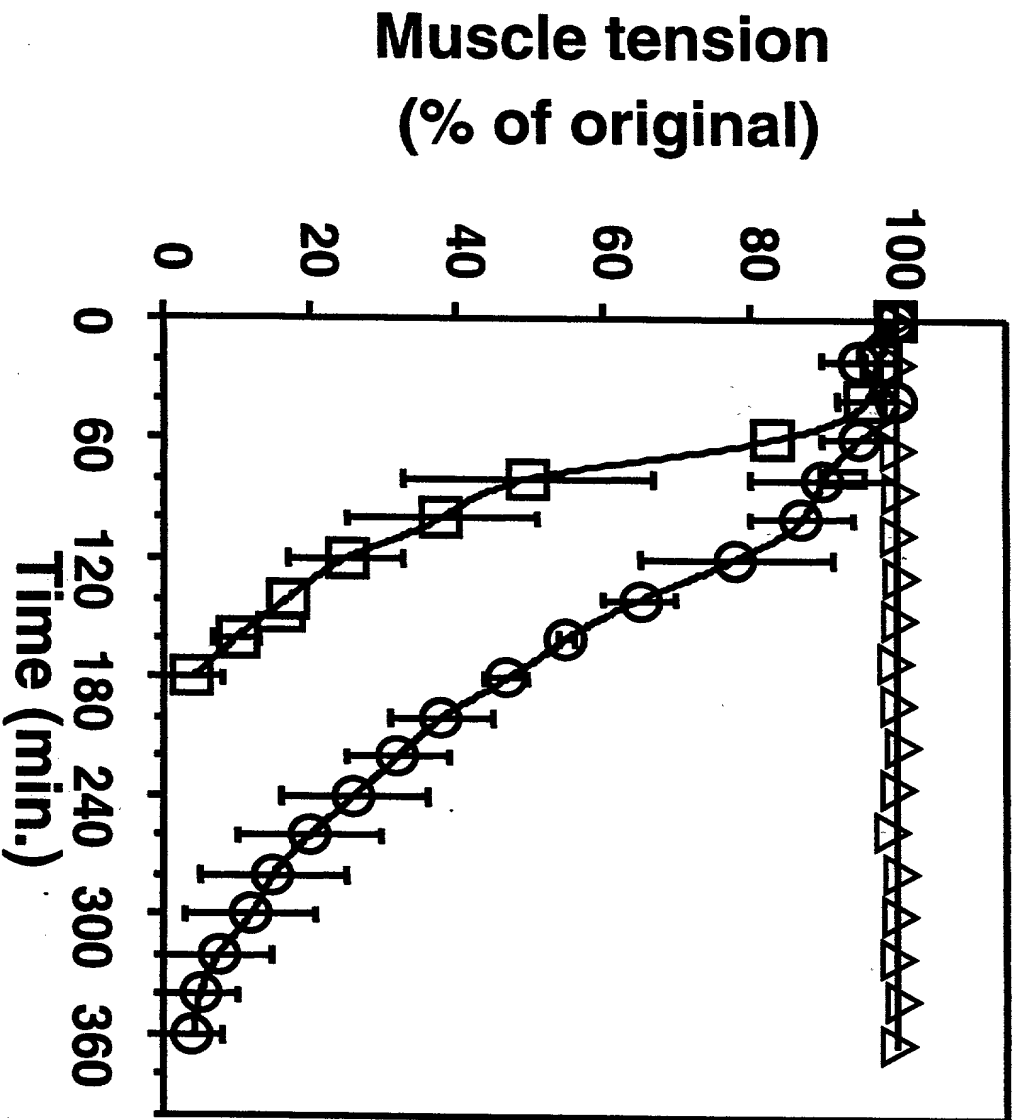


Fig. 10

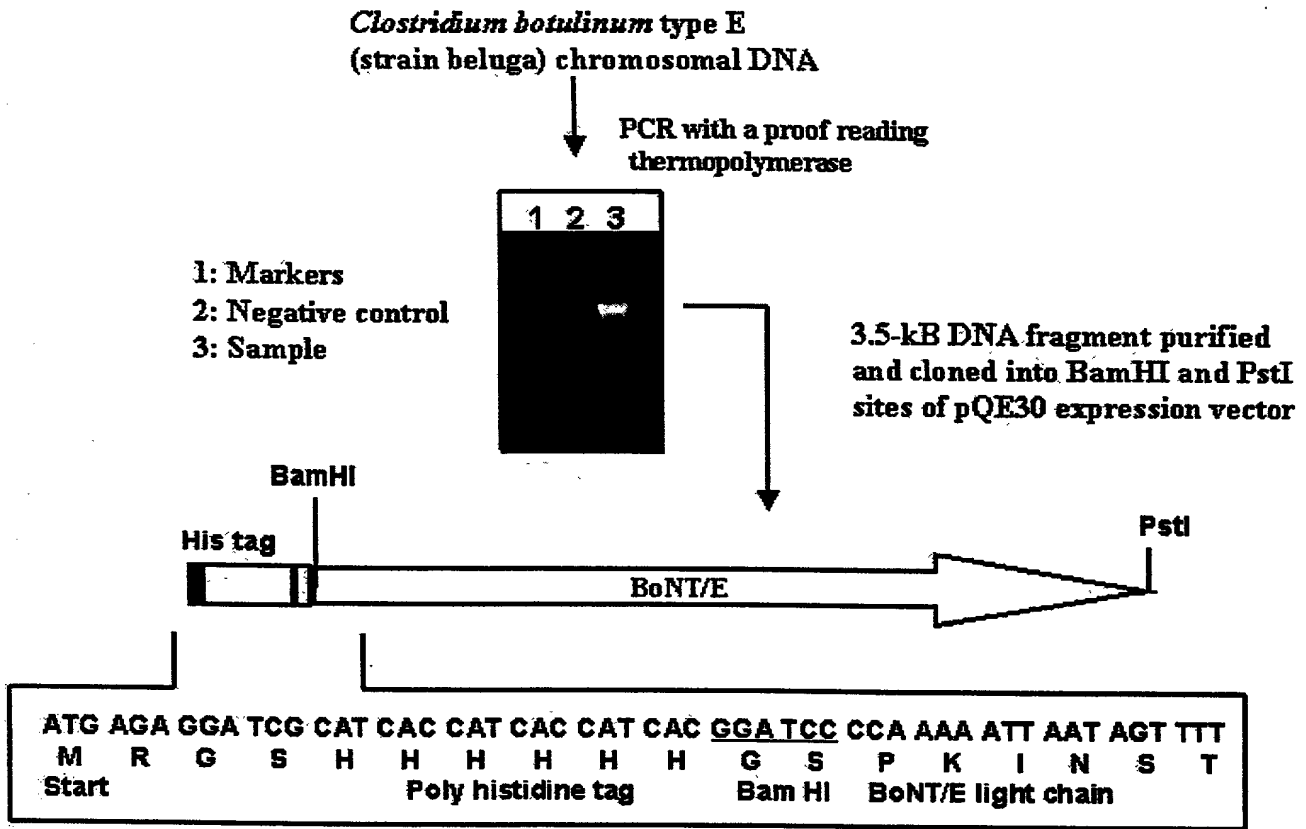
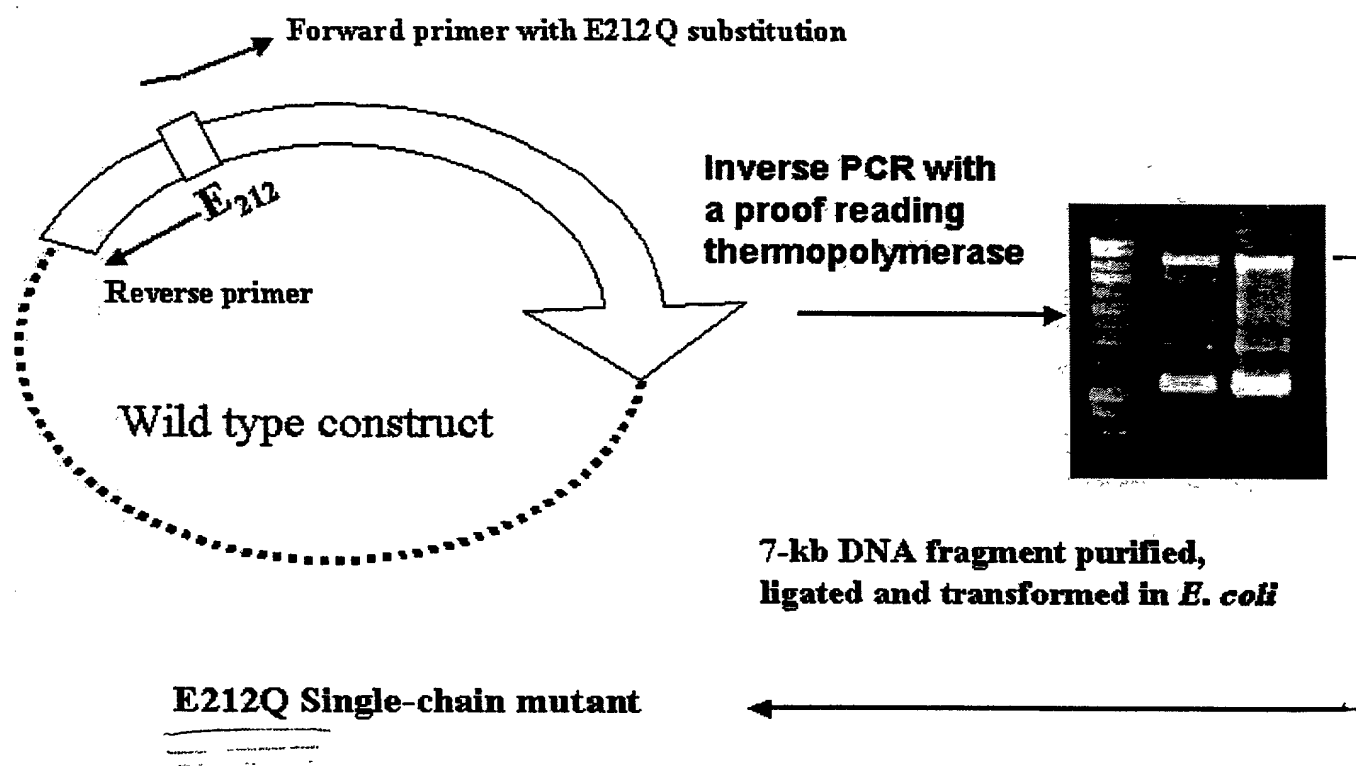


Fig. 11



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Fig. 12

Culture of *E. coli* M15[ pQEESCwt]



Overnight induction with IPTG



Harvest, extraction and centrifugation



Metal chelate affinity chromatography

SDS-PAGE (reducing cond.)

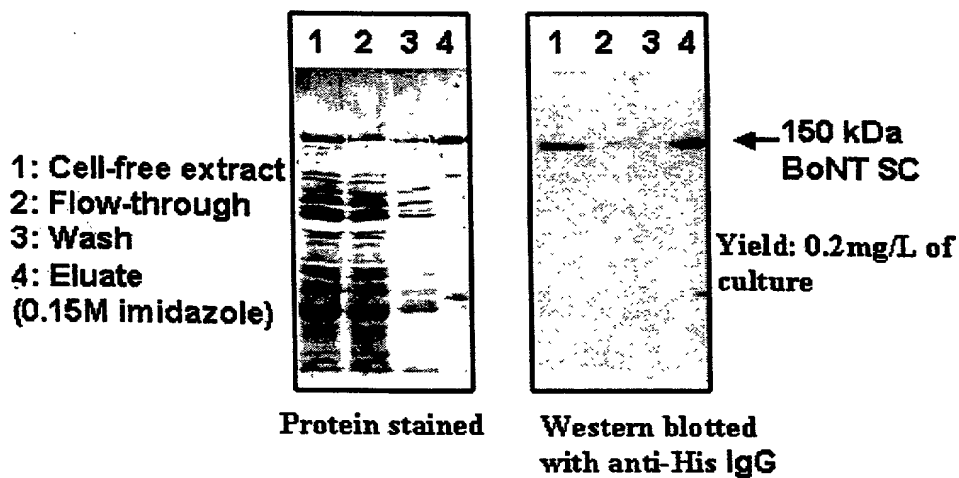
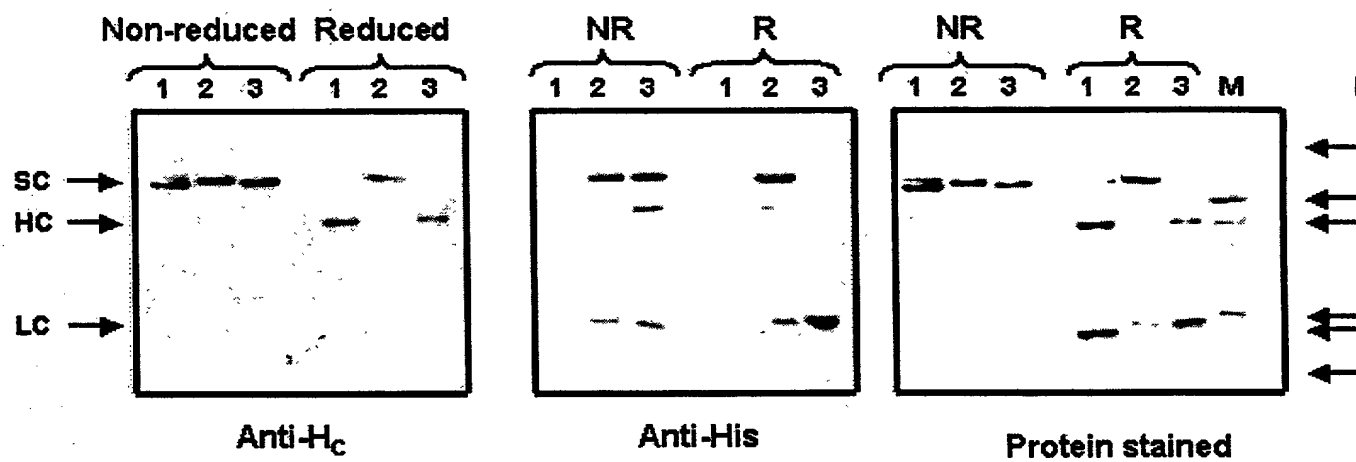


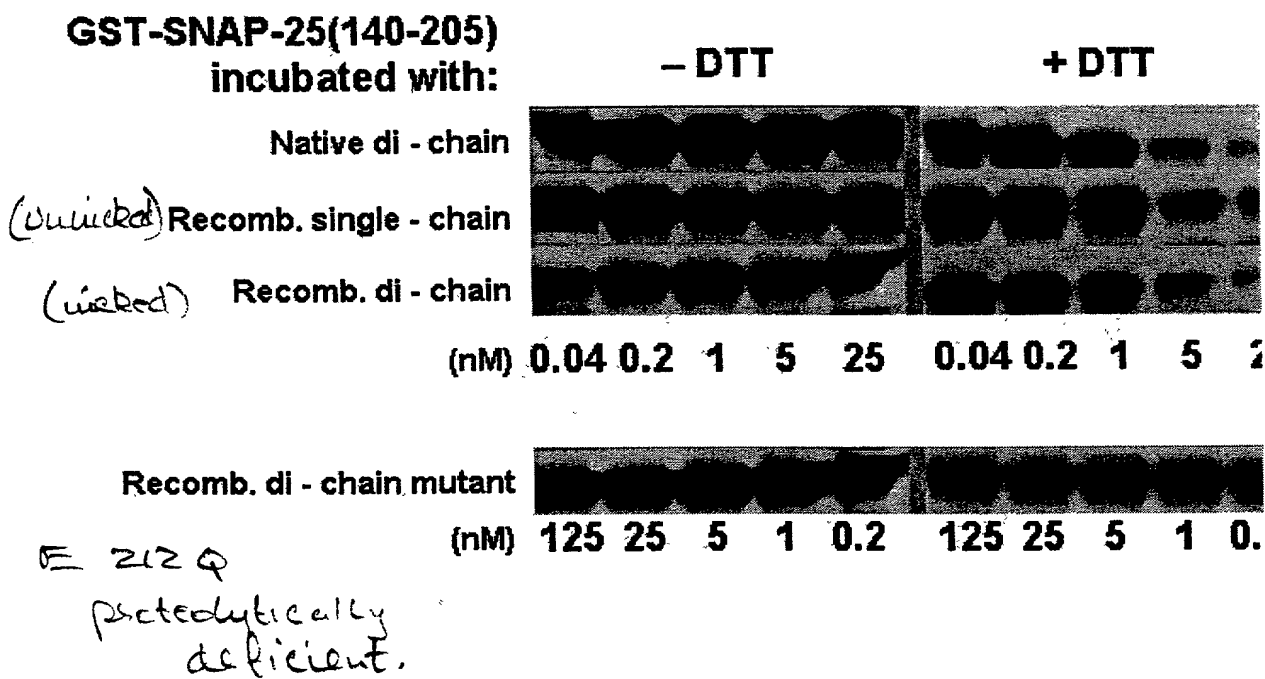
Fig. 13

1: BoNT/E from *Clostridium botulinum*  
 2: Un-nicked recomb. BoNT/E  
 3: Nicked recomb. BoNT/E  
 M: Markers



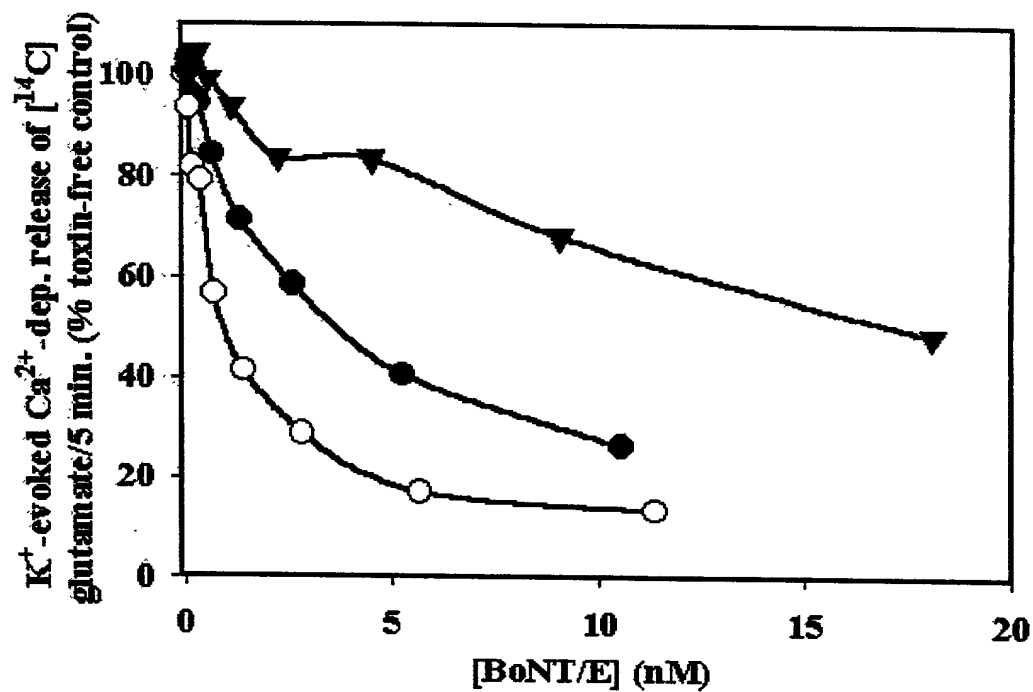
Western blotting

Fig. 14



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Fig. 15



- native
- linked synaptobrevins
- ▼ unlinked synaptobrevins

Cerebellar neurons.

Fig. 16

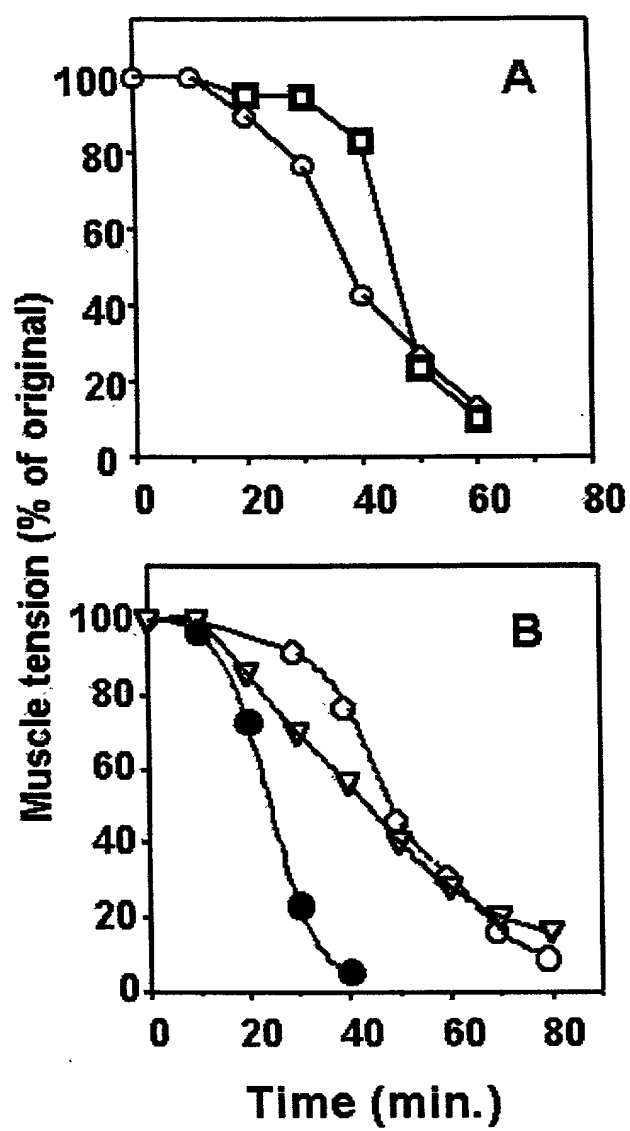
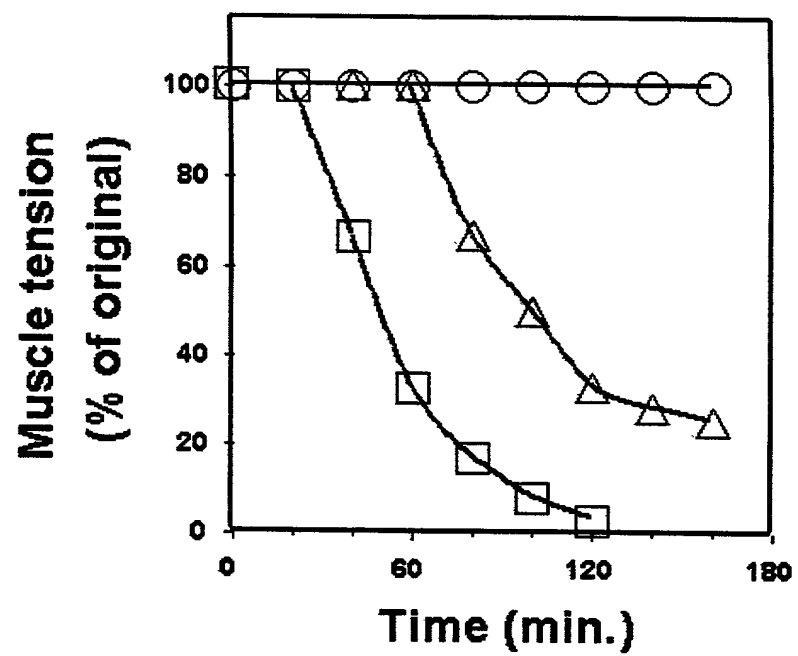


Fig. 17



As a below named inventor, I hereby declare that:

I believe I am the original and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention ACTIVATABLE RECOMBINANT NEUROTOXINS the specification of which

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a). I hereby claim foreign priority benefits under 35 USC § 119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the Prior Foreign Applications(s).

I hereby claim the benefit under 35 USC §119 (e) of any United States provisional application(s) listed below.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

I hereby appoint **CARLOS A. FISHER, Registration No. 36,510** (to whom all communications are to be directed), and the below-named persons (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, with full power to appoint associate attorneys:

| <u>Name</u>     | <u>Registration No.</u> |
|-----------------|-------------------------|
| Robert Baran    | 25,806                  |
| Stephen Donovan | 33,433                  |
| Martin A. Voet  | 25,208                  |

of the following correspondence address: **Allergan, Inc., 2525 Dupont Drive, Irvine, CA. 92612**

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

|   |                                 |                                   |                    |
|---|---------------------------------|-----------------------------------|--------------------|
| <b>FULL NAME OF INVENTOR:</b>               |                                 |                                   |                    |
| First Name:<br>J.                           | Initial<br>Oliver               | Last Name<br>Dolly                |                    |
| <b>RESIDENCE &amp; CITIZENSHIP</b>          |                                 |                                   |                    |
| City<br>Cheam, Surrey                       | State or Foreign Country<br>UK  | Country of Citizenship<br>Irish   |                    |
| <b>POST OFFICE ADDRESS</b>                  |                                 |                                   |                    |
| Post Office Address<br>7 Buckland Road      | City<br>Cheam, Surrey           | State or Country<br>UK            | Zip Code<br>SM27LP |
| SIGNATURE OF FIRST INVENTOR                 |                                 | DATE:                             |                    |
| <b>FULL NAME OF INVENTOR:</b>               |                                 |                                   |                    |
| First Name:<br>Yan                          | Initial                         | Last Name<br>Li                   |                    |
| <b>RESIDENCE &amp; CITIZENSHIP</b>          |                                 |                                   |                    |
| City<br>Greenford, Middlesex                | State or Foreign Country<br>UK  | Country of Citizenship<br>Chinese |                    |
| <b>POST OFFICE ADDRESS</b>                  |                                 |                                   |                    |
| Post Office Address<br>83 Conway Crescent   | City<br>Greenford,<br>Middlesex | State or Country<br>UK            | Zip Code<br>UB68JA |
| SIGNATURE OF INVENTOR                       |                                 | DATE:                             |                    |
| First Name:<br>Kuo                          | Initial<br>Chion                | Last Name<br>Chan                 |                    |
| <b>RESIDENCE &amp; CITIZENSHIP</b>          |                                 |                                   |                    |
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| SIGNATURE OF INVENTOR                       |                                 | DATE:                             |                    |
|   |                                 |                                   |                    |



SEQUENCE LISTING

<110> Dolly, James Oliver  
Li, Yan  
Chan, C.K.  
Aoki, Kei Roger

<120> Activatable Recombinant Neurotoxins

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Asp Asp Lys Asp Arg Trp Gly Ser Arg Ser Leu Thr Asp Leu Gly  
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| ctatggatat  | taaaaaatat  | ataaatTTTaa | aattaggaga  | tgctgtatat  | gccaaaaatt  | 240  |
| aatagtttta  | attataatga  | tctgtttaat  | gatagaacaa  | ttttatatat  | taaaccaggc  | 300  |
| ggttgtcaag  | aattttataa  | atcattttaat | attatgaaaa  | atattttggat | aattccagag  | 360  |
| agaaatgtaa  | ttggtacaac  | cccccaagat  | tttcatccgc  | ctacttcatt  | aaaaaatgga  | 420  |
| gatagtagtt  | attatgaccc  | taattatttta | caaagtgatg  | aagaaaagga  | tagatttttta | 480  |
| aaaaatgtca  | caaaaatatt  | taatagaata  | aataataatc  | tttcaggagg  | gatttttatta | 540  |
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| catattgggtg | atgcatcagc  | agttgagatt  | aaattctcaa  | atggtagcca  | agacatacta  | 660  |
| ttacctaatg  | ttattataat  | gggagcagag  | cctgatttat  | ttgaaactaa  | cagttccaat  | 720  |
| atTTtctctaa | gaaataatta  | tatgccaaagc | aatcaccggt  | ttggatcaat  | agctatagta  | 780  |
| acattctcac  | ctgaatattc  | tttttagattt | aatgataatt  | gtatgaatga  | atTTtattcaa | 840  |
| gatcctgctc  | ttacattaat  | gcatgaatta  | atacattcat  | tacatggact  | atatggggct  | 900  |
| aaagggatta  | ctacaaaagta | tactataaca  | caaaaacaaa  | atccccaat   | aacaaatata  | 960  |
| agaggtacaa  | atattgaaga  | attctttaact | tttggaggta  | ctgattttaaa | cattattact  | 1020 |
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| aatgattcta  | tttataatat  | atcagaaggc  | tataatataa  | ataatTTttaa | ggtaaatttt  | 1380 |
| agaggacaga  | atgcaaaattt | aaatcctaga  | attattacac  | caattacagg  | tagaggacta  | 1440 |
| gtaaaaaaaa  | tcattagatt  | ttgtaaaaat  | attgTTTTctg | taaaaggcat  | aaggaaatca  | 1500 |
| atatgtatcg  | aaataaataa  | tggtgagTTa  | TTTTttgtgg  | cttccgagaa  | tagTTtataat | 1560 |
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| gatgaaaaat  | taaattttaac | tatccaaaat  | gatgcttata  | taccaaaata  | tgattctaat  | 1740 |
| ggaacaagtg  | atatagaaca  | acatgatgtt  | aatgaactta  | atgtattttt  | ctatttagat  | 1800 |
| gcacagaaag  | tgcccgaagg  | tgaaaataat  | gtcaatctca  | cctcttcaat  | tgatacagca  | 1860 |
| ttattagaac  | aacctaataat | atatacattt  | ttttcatcag  | aatttattaa  | taatgtcaat  | 1920 |
| aaacctgtgc  | aagcagcatt  | atTTtgtaagc | tggatacaac  | aagtgttagt  | agattttact  | 1980 |
| actgaagcta  | accaaaaaag  | tactgtttgat | aaaattgcag  | atattttctat | agttgttcca  | 2040 |
| tatataggtc  | ttgcttttaa  | tataggaaat  | gaagcacaaa  | aaggaaattt  | taaagatgca  | 2100 |
| cttgaattat  | taggacgag   | tatttttatta | gaatttgaac  | cagagctttt  | aattcctaca  | 2160 |
| atTTtagtat  | tcacgataaa  | atctTTTTtta | ggttcatctg  | ataataaaaa  | taaagttatt  | 2220 |
| aaagcaataa  | ataatgcatt  | gaaagaaaga  | gatgaaaaat  | ggaaagaagt  | atatagtttt  | 2280 |
| atagtatcga  | attggatgac  | taaaaattaat | acacaattta  | ataaaagaaa  | agaacaaatg  | 2340 |
| tatcaagctt  | tacaaaatca  | agtaaatgca  | attaaaacaa  | taatagaatc  | taagtataat  | 2400 |
| agttatactt  | tagaggaaaa  | aaatgagctt  | acaaataaat  | atgatattaa  | gcaaatagaa  | 2460 |
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| tacatttatat | atgataataa  | atataaaaaat | tttagtatta  | gtttttgggt  | aagaattcct  | 3000 |
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| gataataatt  | caggatggaa  | agtatctctt  | aatcataatg  | aaataatttg  | gacattcgaa  | 3120 |
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| gattatataa  | ataagtggat  | ttttgtaact  | ataactaatg  | atagattagg  | agattctaaa  | 3240 |
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| aatgatcagg | tatatattaa  | ttttgtagcc | agcaaaactc  | acttatttcc  | attatatgct  | 3720 |
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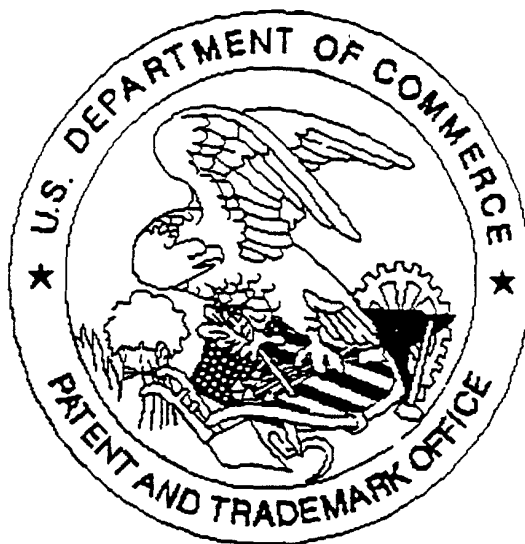
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|            | 26                |

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